



# Structure Refinement with Cryo-EM Density Maps

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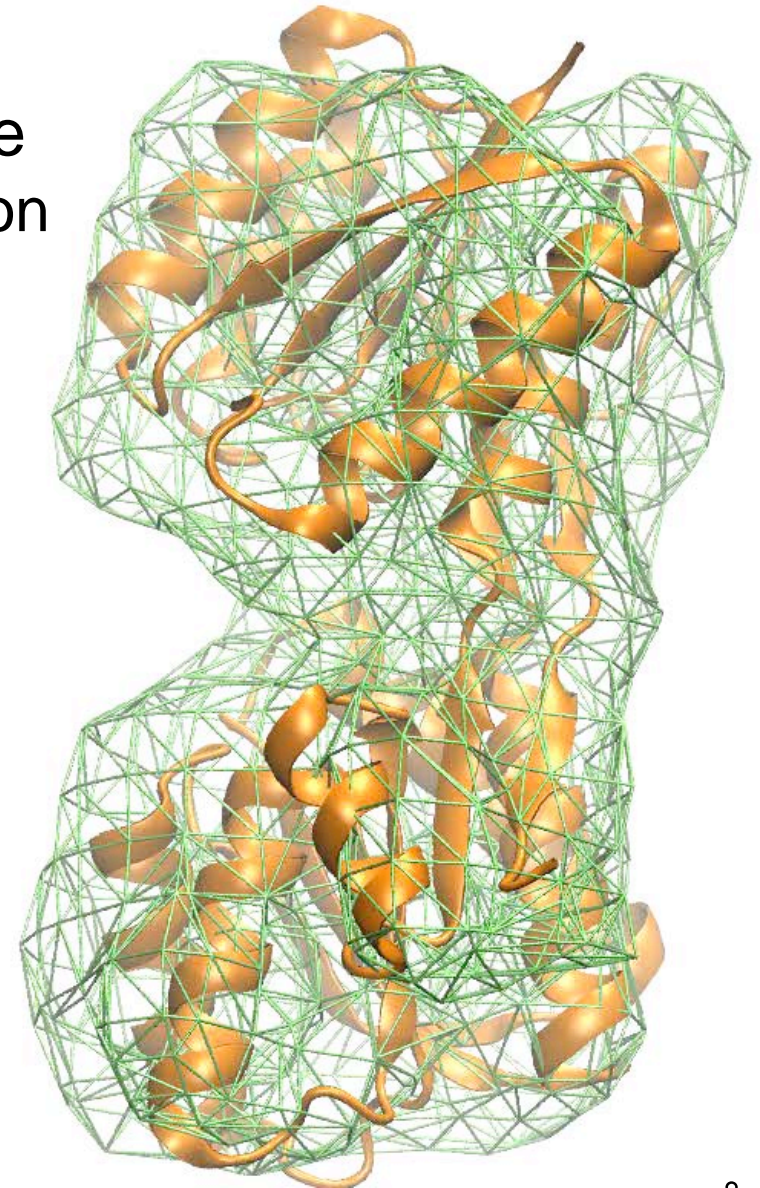


# Structure Refinement at Low-resolution

Assume: We have a starting structure  
(crystal structure in different conformation  
or good homology model)

Standard refinement yields  
a bad structure

How to make use of prior structural  
information during the refinement?



10 Å

The required X-ray resolution (determinacy point) depends on the number of degrees of freedom and the solvent fraction

Degrees of Freedom & $N/N_{res}$		$S$ (Solvent Volume Fraction)		
		0.5	0.6	0.7
All Atoms with H atoms	<b>48</b>	2.3 Å	2.5 Å	2.8 Å
All Atoms no H atoms	<b>24</b>	2.9 Å	3.2 Å	3.5 Å
All ( $\Phi, \Psi, \chi$ ) Torsions	<b>4</b>	5.3 Å	5.8 Å	6.3 Å
All ( $\Phi, \Psi$ ) Torsions	<b>2</b>	6.7 Å	7.3 Å	8.0 Å
All ( $\alpha$ ) Torsions	<b>1</b>	8.5 Å	9.13 Å	10.1 Å

# Deformable Elastic Network (DEN)

Refine only those degrees of freedom that need to be refined to fit the data, but not more.

Find only the relevant degrees of freedom for which the data actually provide information

Schröder, Brunger & Levitt, *Structure* (2007) **15**:1630

Schröder, Levitt & Brunger, *Nature* (2010) **464**:1218-1222

# Deformable Elastic Network

- randomly chosen distance restraints
- deformable distance restraints
- nothing to do with normal modes

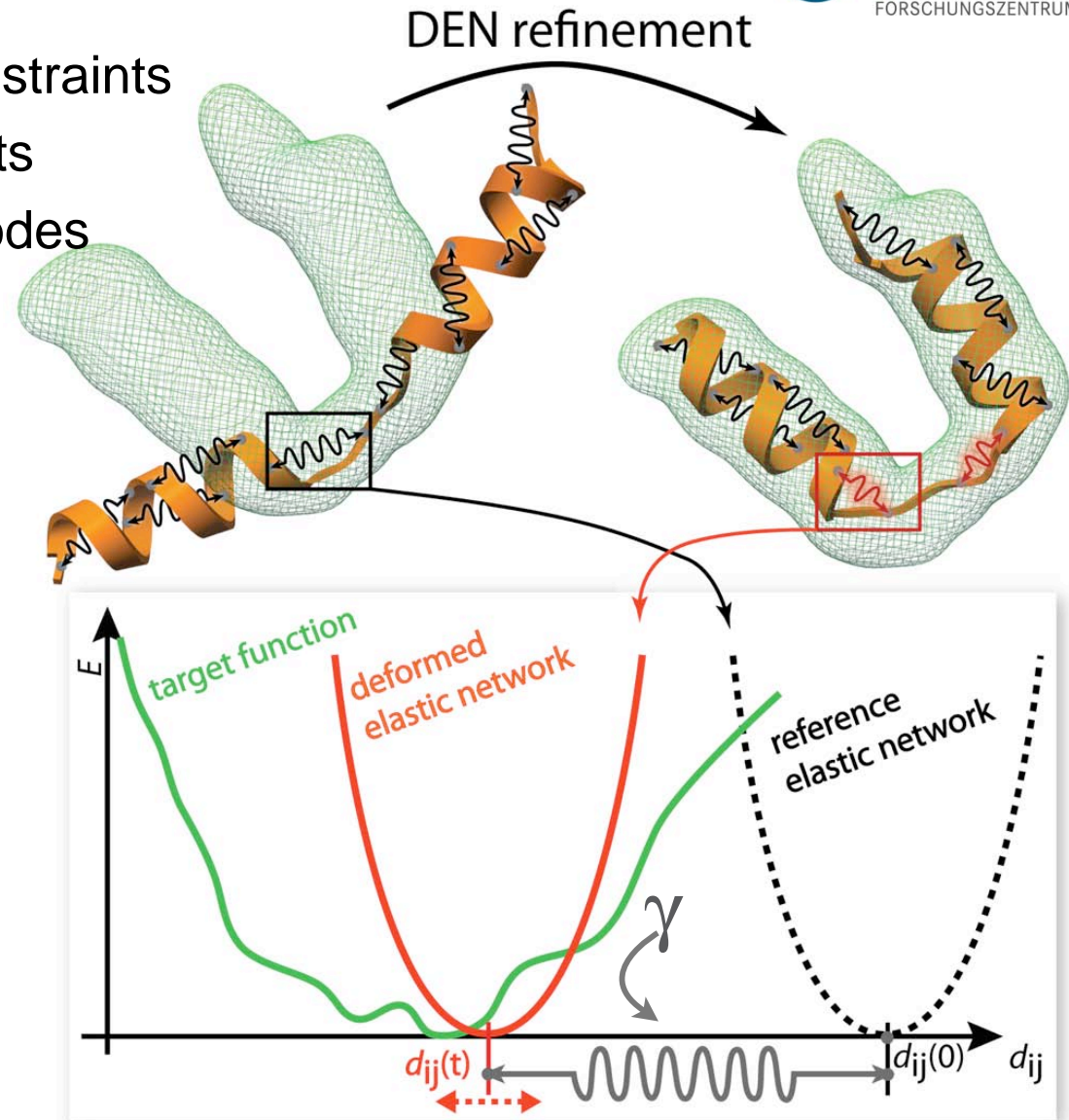
The weight

$W_{DEN}$

and the

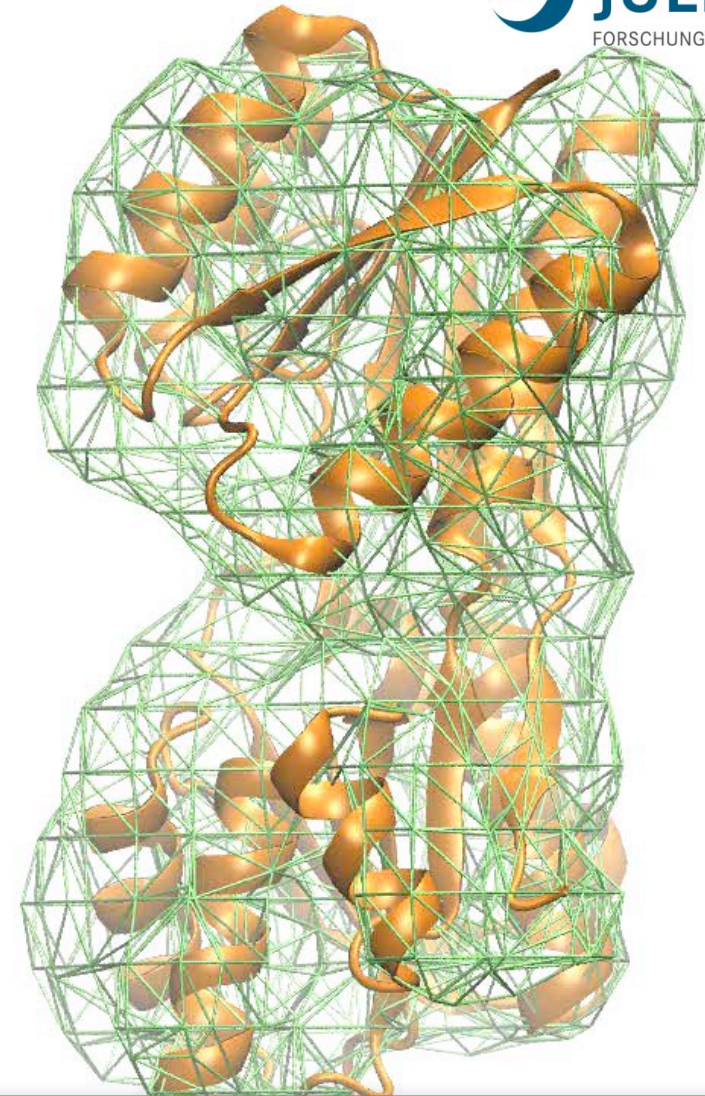
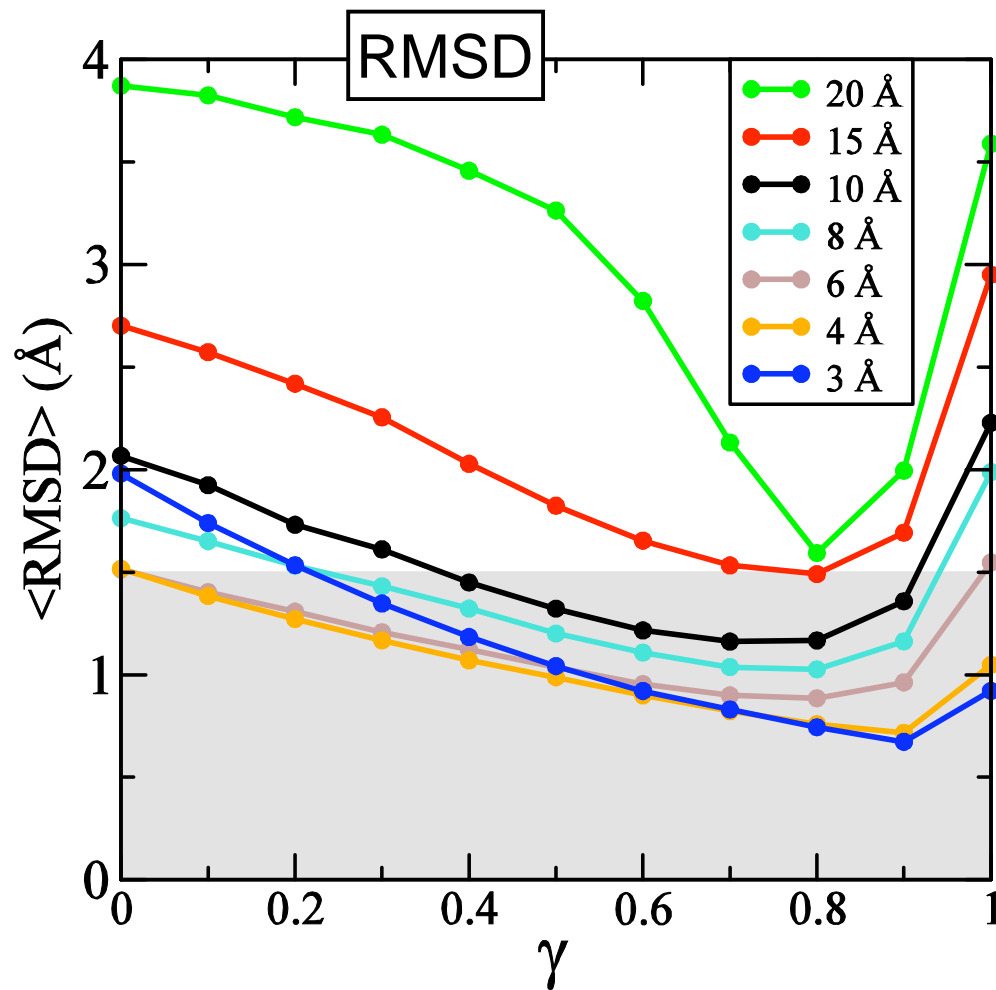
$\gamma$ -parameter

control the flexibility of the restraints



$$E_{\text{Target}} = E_{\text{Xray}} + E_{\text{Chem}} + W_{\text{DEN}} E_{\text{DEN}}$$

# Effect of the $\gamma$ -parameter



$\gamma = 0$       increasing deformability  $\longrightarrow$        $\gamma = 1$   
Reference model       $\longleftarrow$        $\longrightarrow$       Experimental restraints

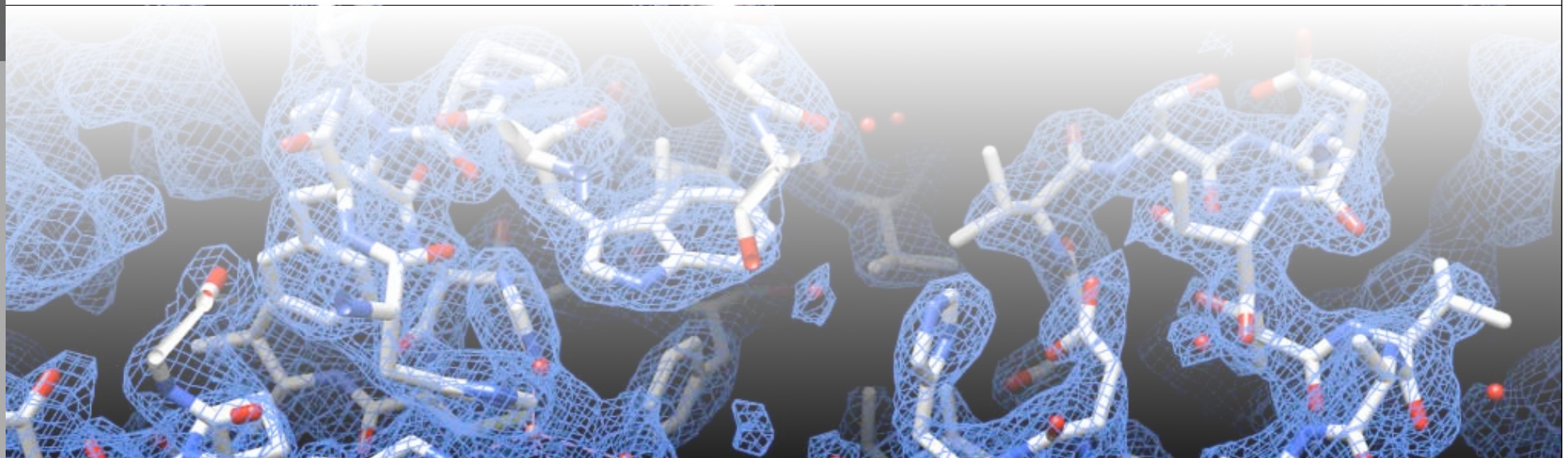
# Application of DEN to Reciprocal-space Structure Refinement using X-ray Diffraction Data

DEN method implemented in

**CNS** (v1.3)

and

**Phenix** (v.1.8)







## Real-space Refinement

- Efficient geometry-based conformational sampling
  - Cross-correlation coefficient between model and target density map is optimized
  - Cross-validation
  - DEN I
  - Symm
  - Distan
  - Position
  - Accurate modeling of electron scattering
  - Bulk solvent model
  - Overall B-factor optimization
- no normal modes !!
  - no coarse-graining (although possible)

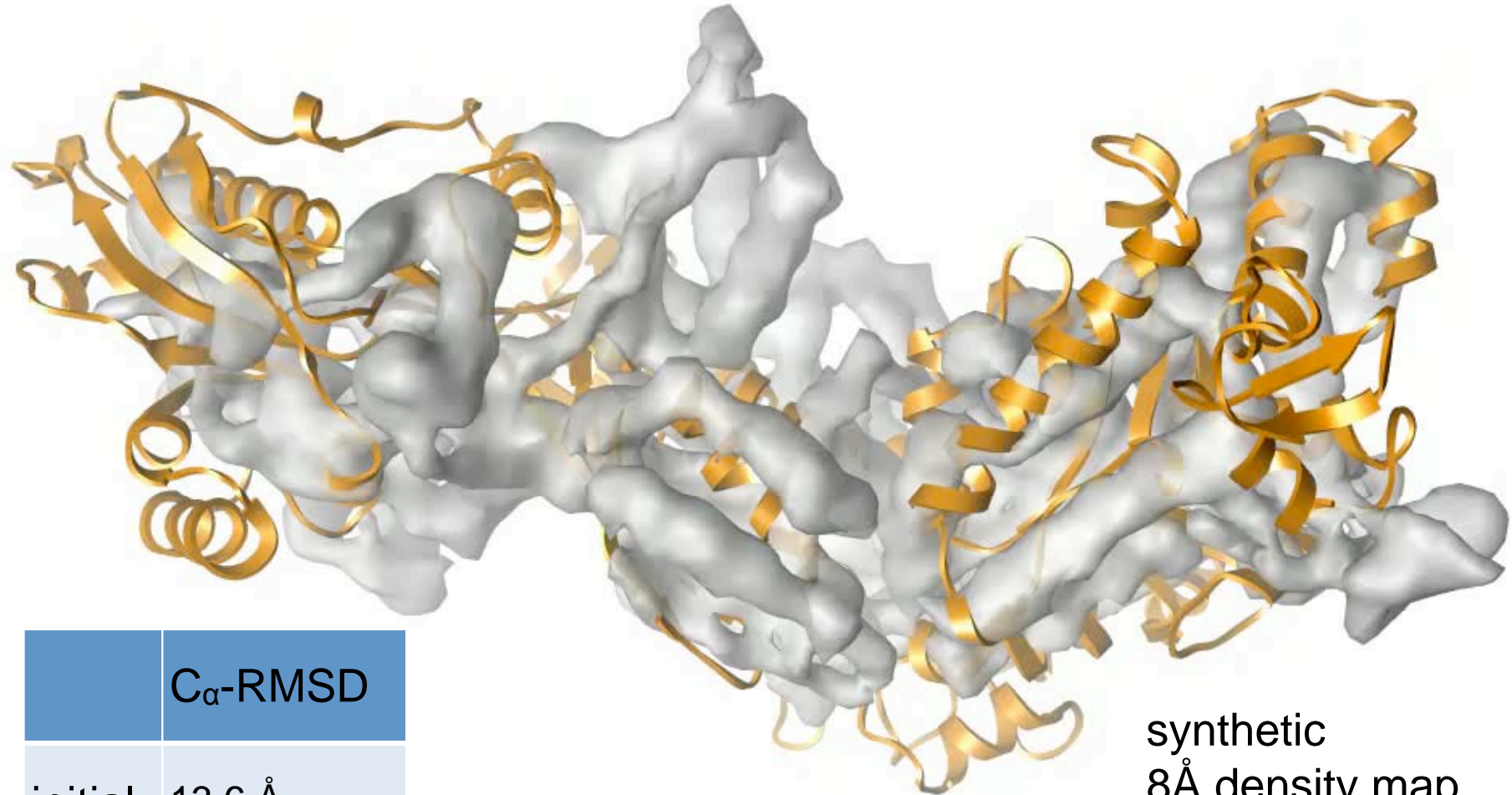


<http://simtk.org/home/direx/>

<http://www.schroderlab.org/software/direx/>

# DireX

Example: Elongation Factor 2 (EF-2)

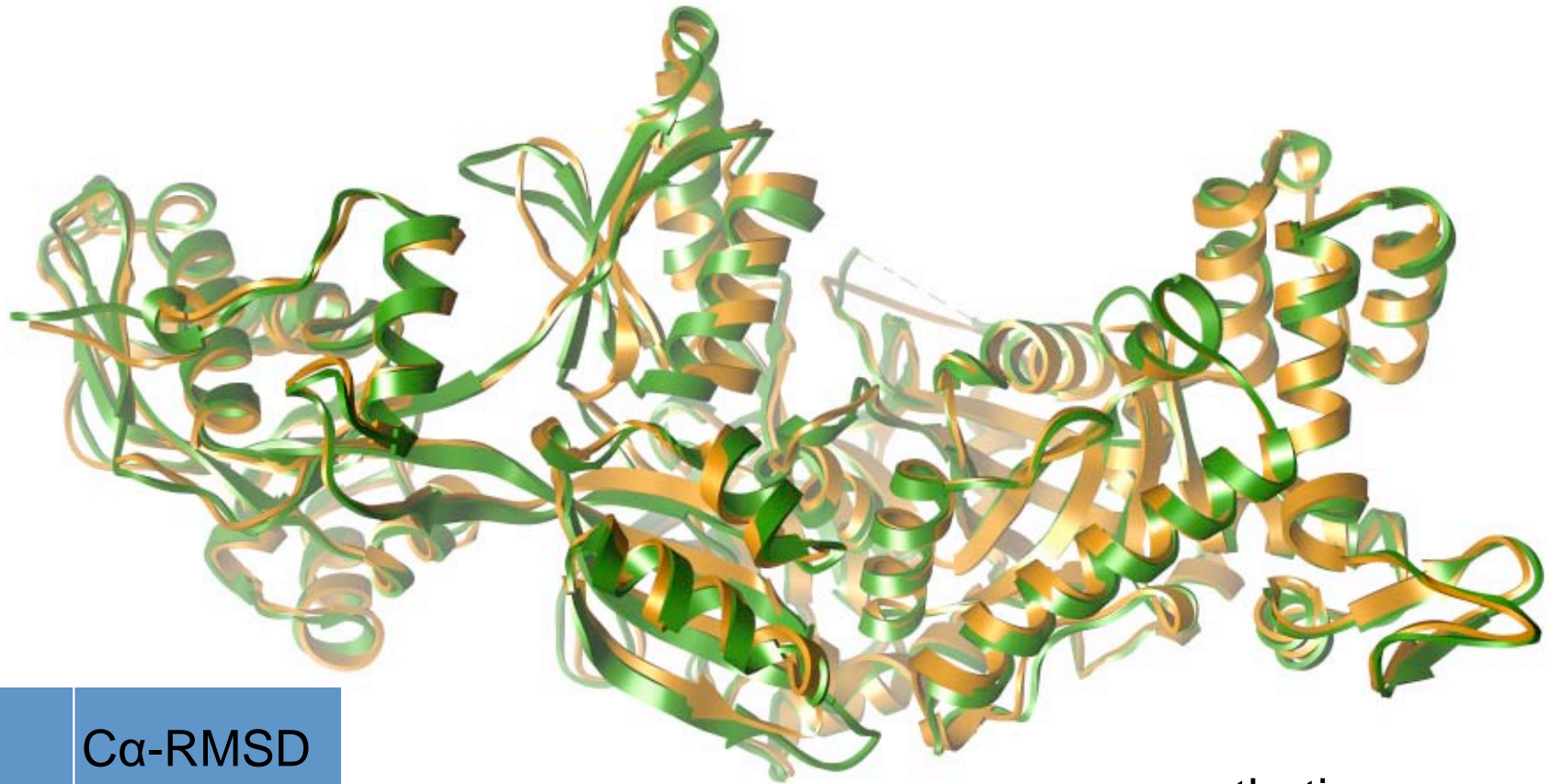


	C <sub>α</sub> -RMSD
initial	13.6 Å
final	0.8 Å

6300 atoms, 14 steps/min  
(3.5 hrs for 3000 steps)

# DireX

Example: Elongation Factor 2 (EF-2)



	Ca-RMSD
initial	13.6 Å
final	0.8 Å

6300 atoms, 14 steps/min  
(3.5 hrs for 3000 steps)

synthetic  
8Å density map

## There are 3 main forces on atoms in DireX:

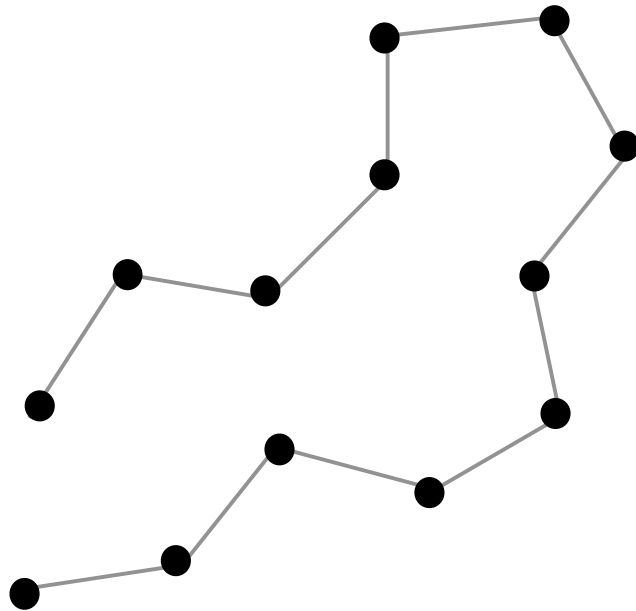
- 1) Concoord restraints: maintain correct stereochemistry (bond lengths, planarity, etc. ) and prevent atom overlaps
- 2) DEN restraints: control the deviation from a reference model
- 3) Density restraints: fit model into density

# DireX: Geometry-based conformational sampling

based on CONCOORD

1. Initial model

B.L. de Groot, et al. Proteins 29: 240-251 (1997)

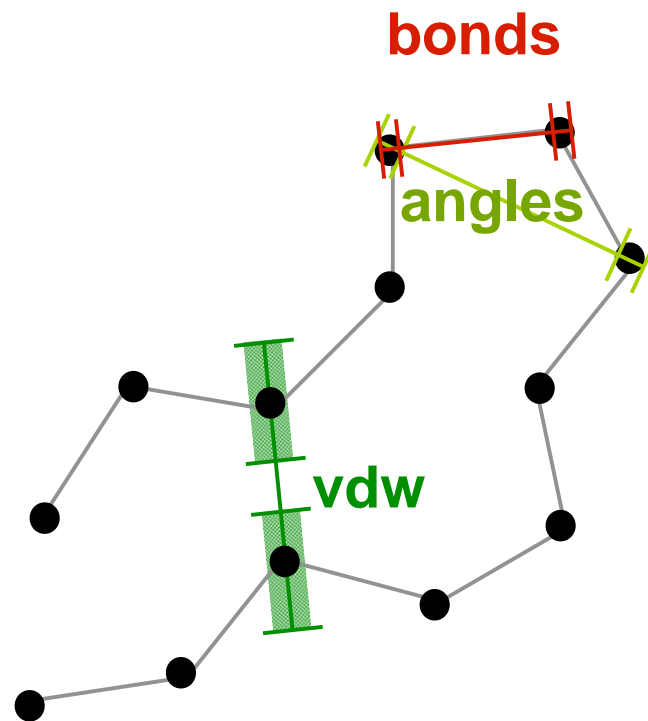


# DireX: Geometry-based conformational sampling

based on CONCOORD

B.L. de Groot, et al. Proteins 29: 240-251 (1997)

1. Initial model
2. Generate list of distance restraints (intervals)

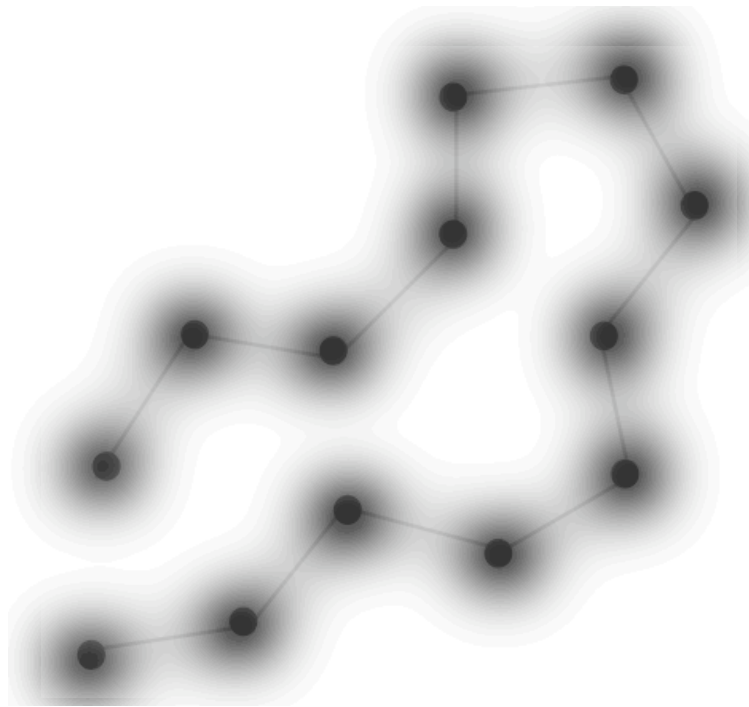


# DireX: Geometry-based conformational sampling

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B.L. de Groot, et al. Proteins 29: 240-251 (1997)

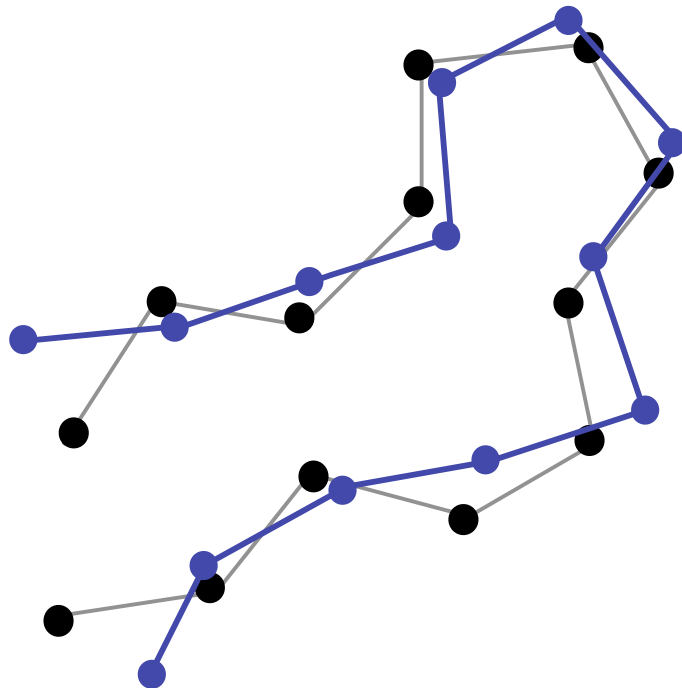
1. Initial model
2. Generate list of distance restraints (intervals)
3. Perturb coordinates



# DireX: Geometry-based conformational sampling

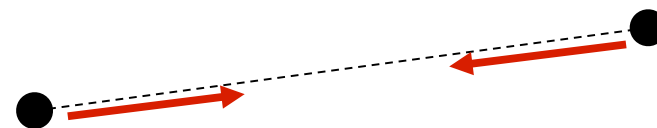
based on CONCOORD

B.L. de Groot, et al. Proteins 29: 240-251 (1997)



1. Initial model
2. Generate list of distance restraints (intervals)
3. Perturb coordinates
4. use CONCOORD algorithm to obtain a new structure which also obeys all distance restraints

CONCOORD: correct distances  
iteratively in a random order

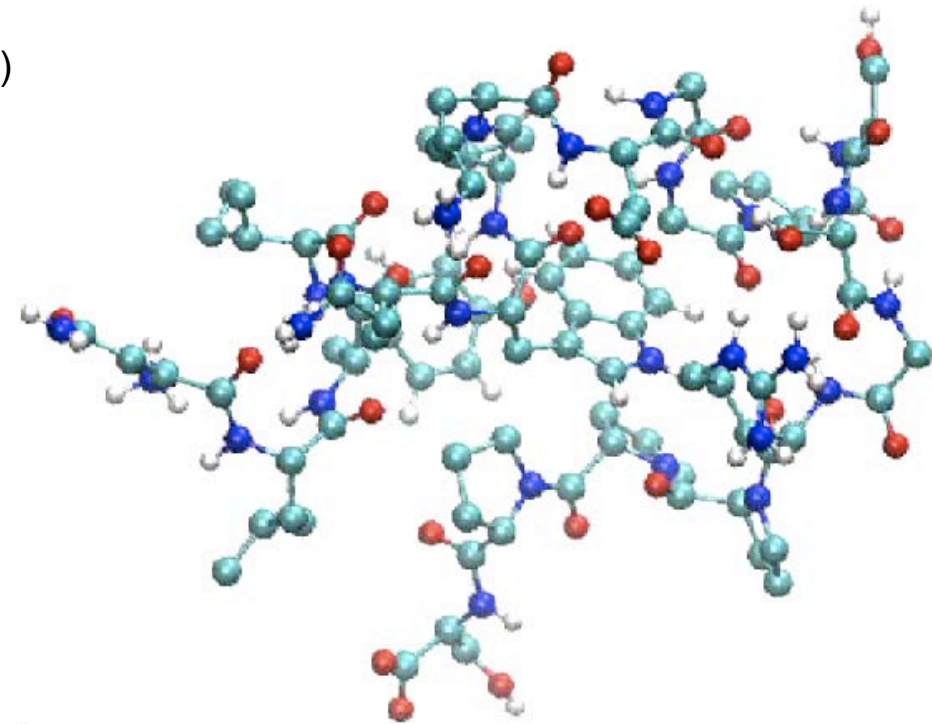
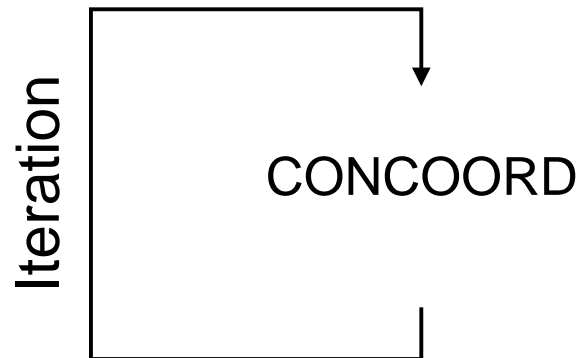




# DireX: Geometry-based conformational sampling

based on CONCOORD

B.L. de Groot, et al. Proteins 29: 240-251 (1997)

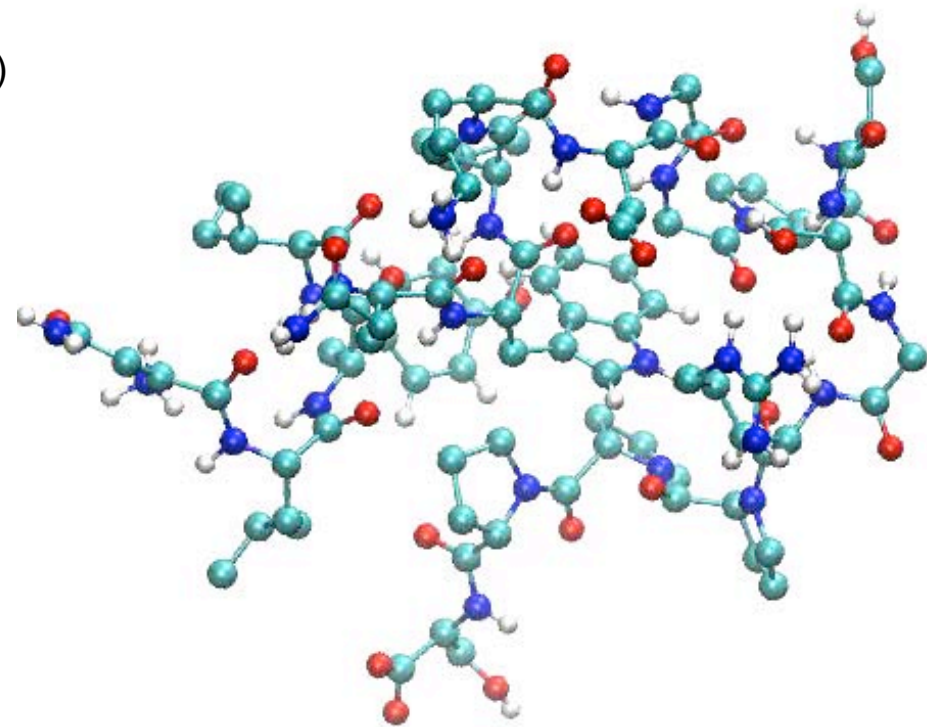
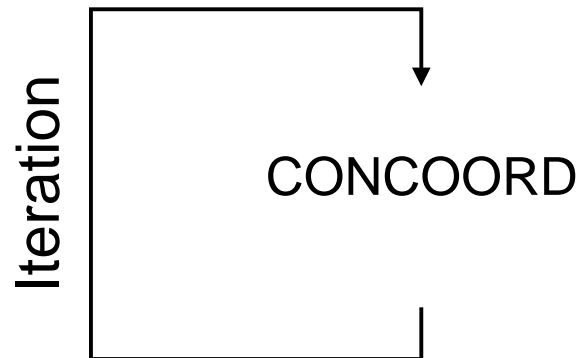


Random walk through conformational space  
while maintaining correct stereochemistry  
and avoiding atom clashes

# DireX: Geometry-based conformational sampling

based on CONCOORD

B.L. de Groot, et al. Proteins 29: 240-251 (1997)



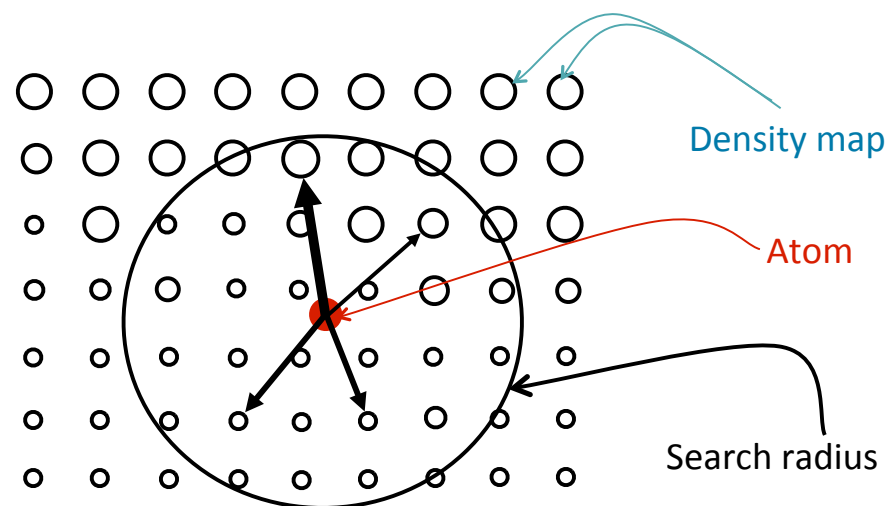
Random walk through conformational space  
while maintaining correct stereochemistry  
and avoiding atom clashes

# DireX: Forces derived from a density map

$$\rho_{\text{difference}}(x) = \rho_{\text{target}}(x) - \rho_{\text{model}}(x)$$

Target density  
Model density  
Difference

Stochastic gradient to move atoms into high difference-density regions



For each atom:  
average over 10 randomly  
chosen vectors weighted by  
density difference



## Difference between MDFF and DireX

Low-resolution data are (obviously) missing high-resolution information.

The difference is where this missing information comes from:

**MDFF** uses MD force field, i.e. predicts missing information

**DireX** takes missing information from crystal structure (or other reference model)

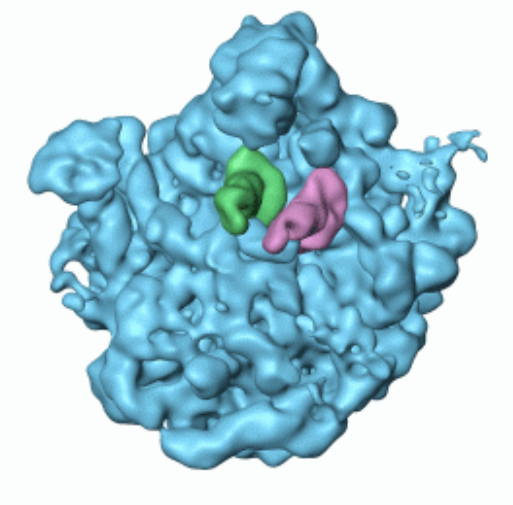
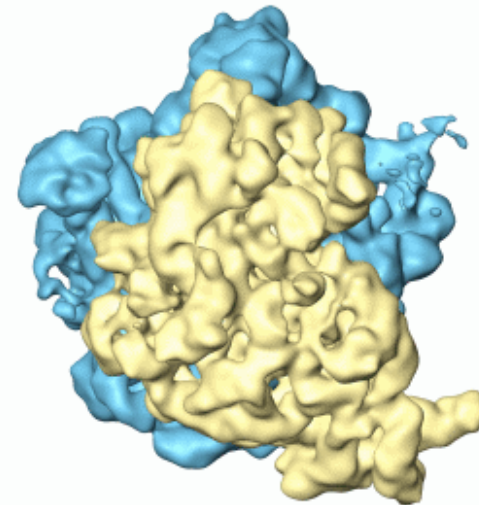
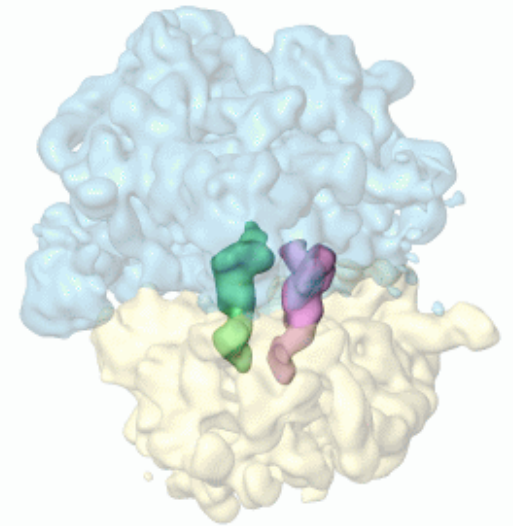
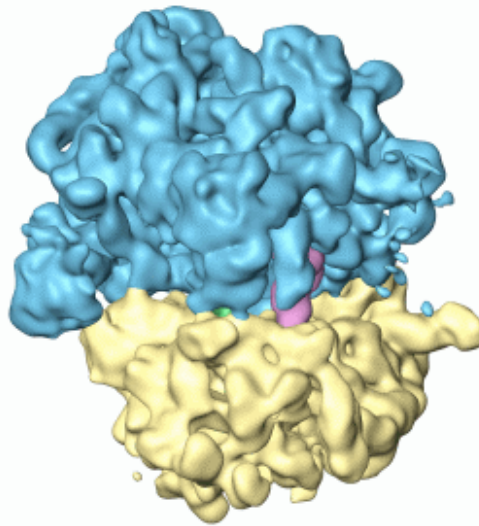
# Ribosome

## tRNA translocation

2 million single-particle  
images sorted into 50  
conformational substates

Resolution 8 - 15 Å

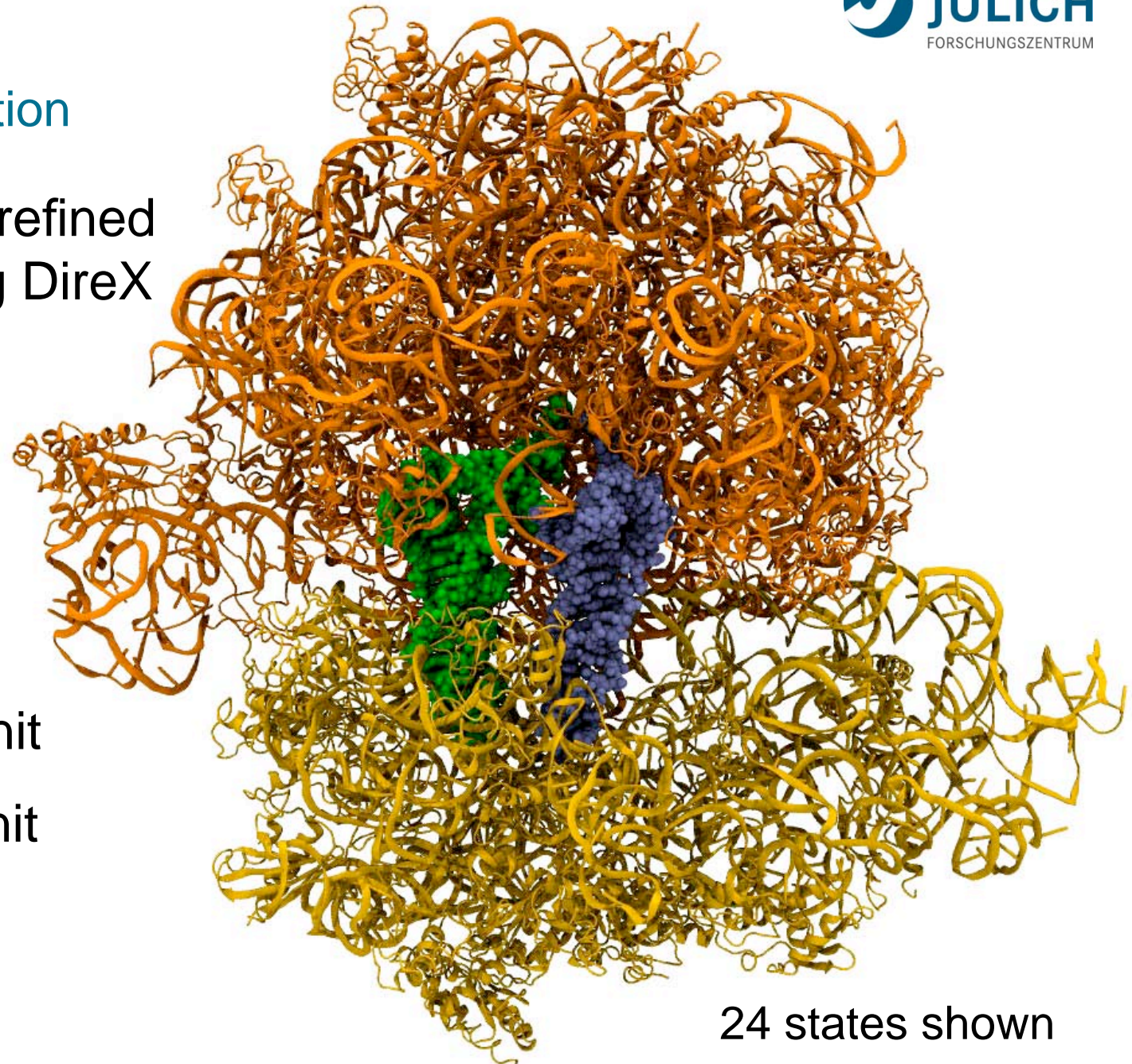
In collaboration with  
Holger Stark's lab  
(MPI Biophysical Chemistry,  
Göttingen)







# Ribosome

## tRNA translocation

Each state was refined separately using DireX



-  Large subunit
-  Small subunit
-  tRNA
-  tRNA

24 states shown

Bock, et al., Nat. Struct. Mol. Biol. (2013)

# MD Simulation of Conformational Transitions

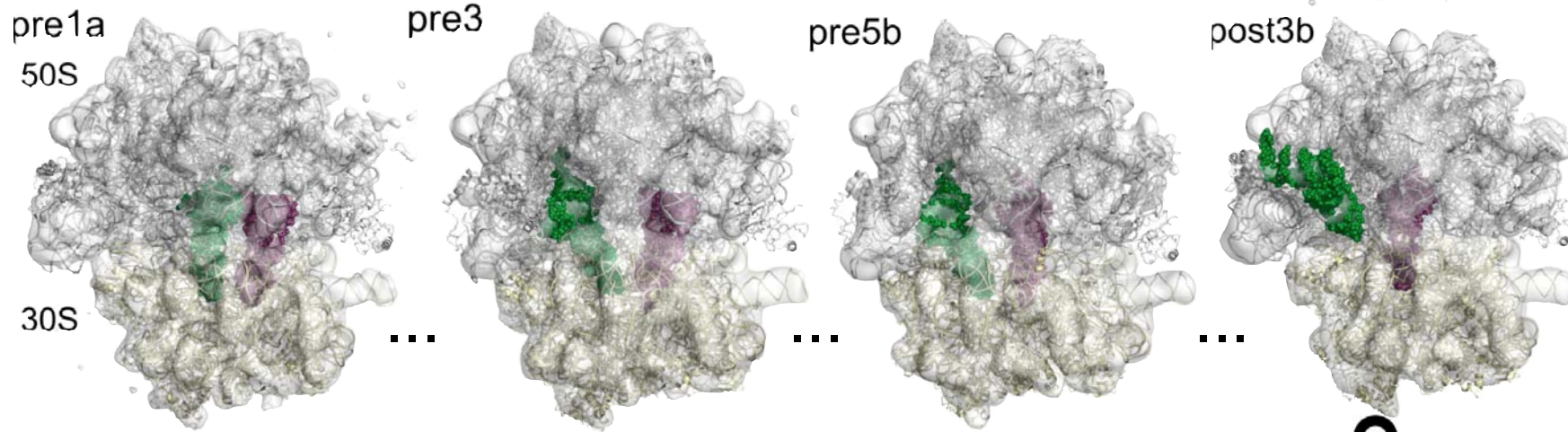
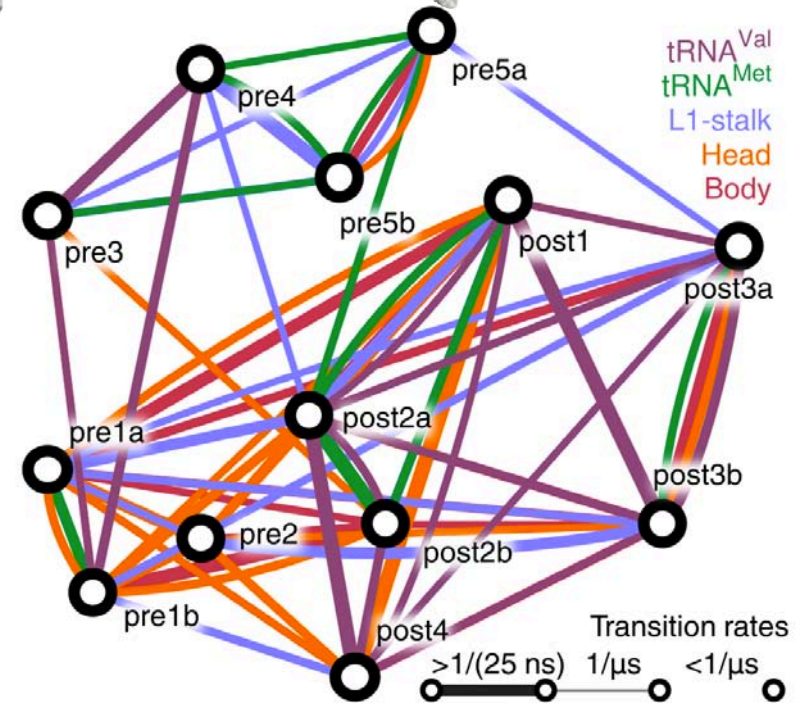


Image classification can yield conformational states that are sufficiently similar to describe the transitions between these states by molecular dynamics simulations

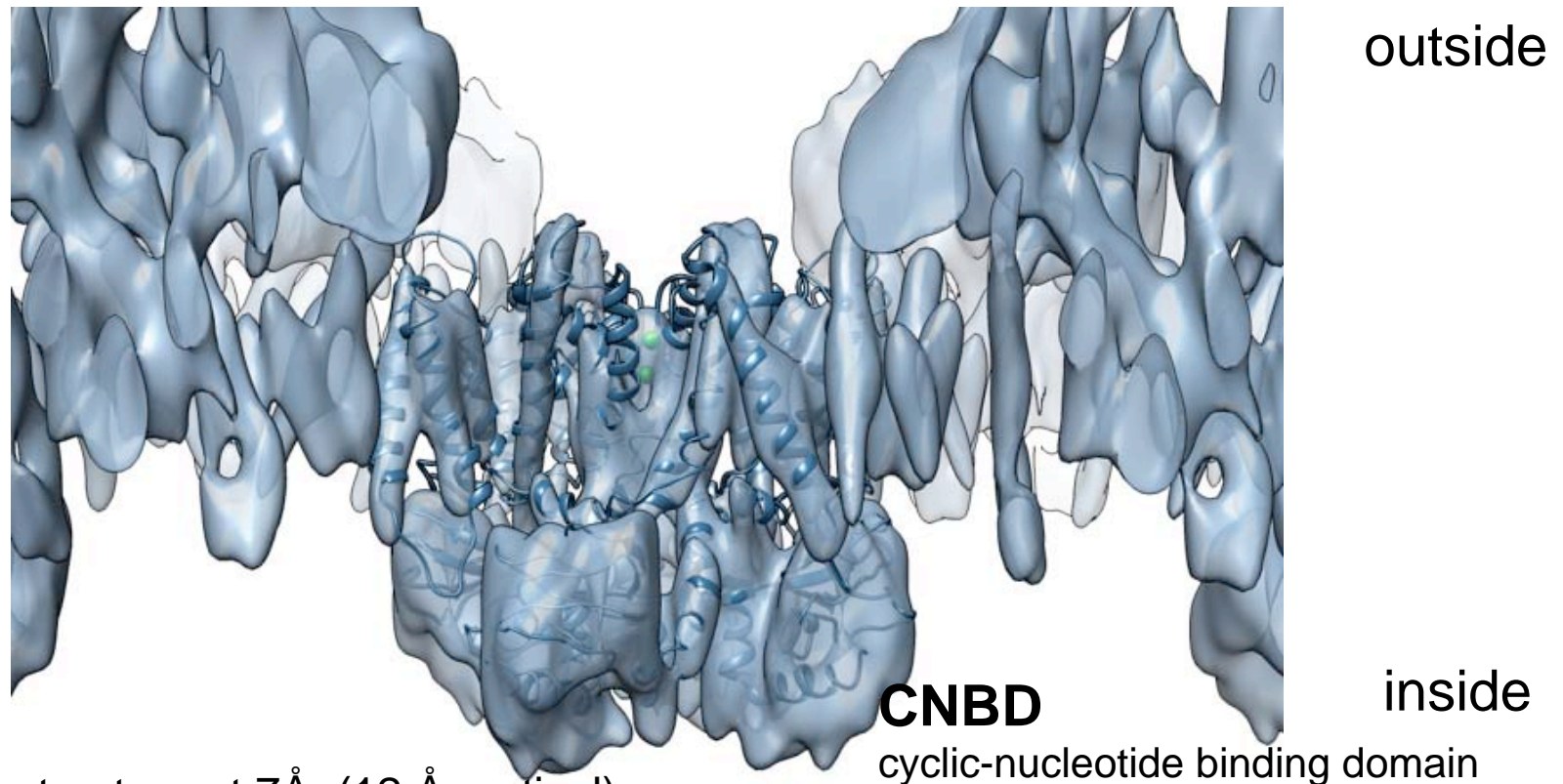


In collaboration with Holger Stark and Helmut Grubmüller (MPI Biophysical Chemistry, Göttingen)

# Ion Channel Gating

## The cyclic-nucleotide activated Mlok1 K<sup>+</sup> channel

The channel opens upon binding of cAMP to the CNBD



Cryo-EM structure at 7Å (12 Å vertical)

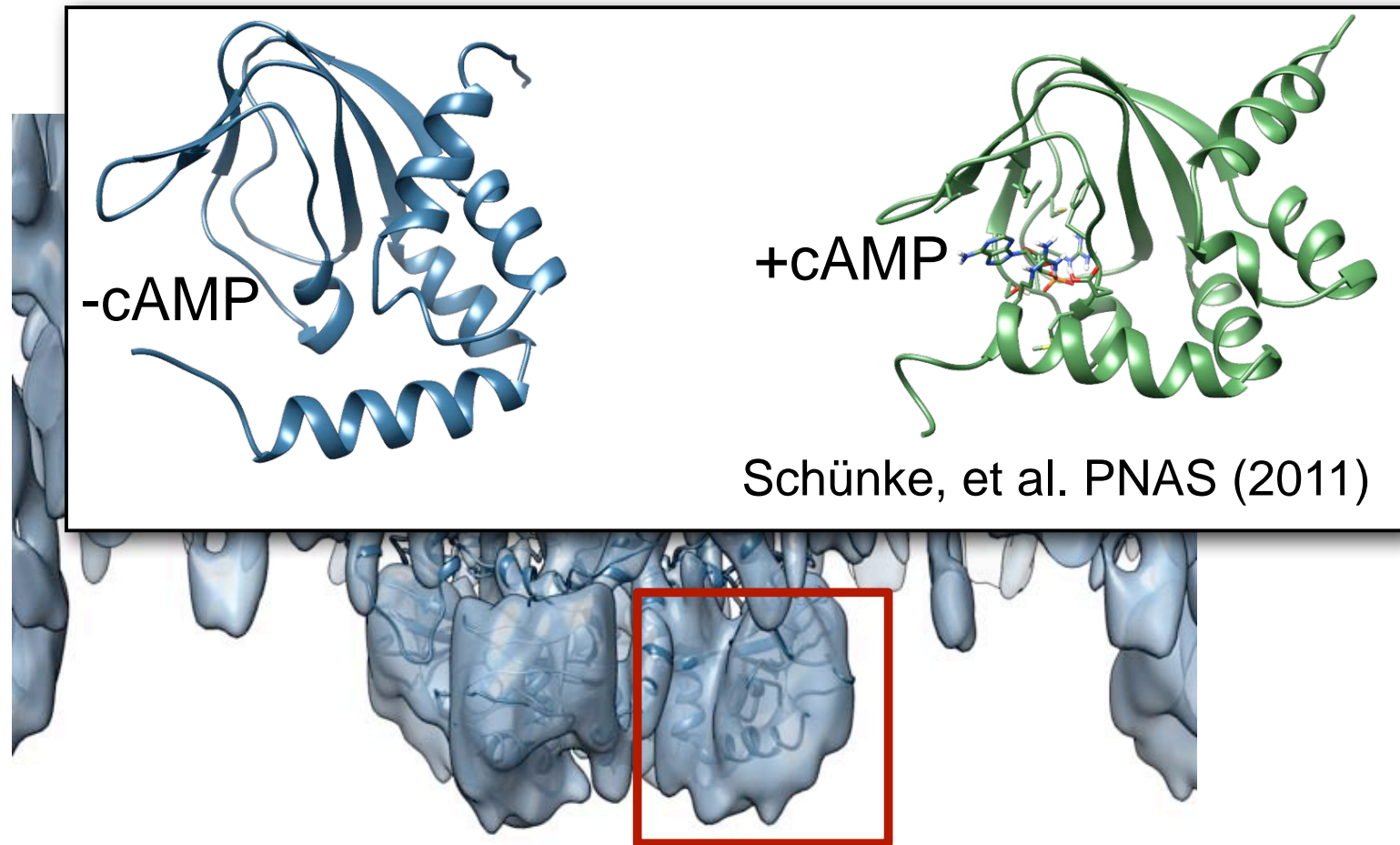
in collaboration with H.Stahlberg (Biozentrum Basel) and C.Nimigean (Cornell)

Kowal et al., Nat. Comm. (2013), accepted



# Ion Channel Gating

Open and closed conformation of the CNBD determined by NMR  
in the group of Dieter Willbold

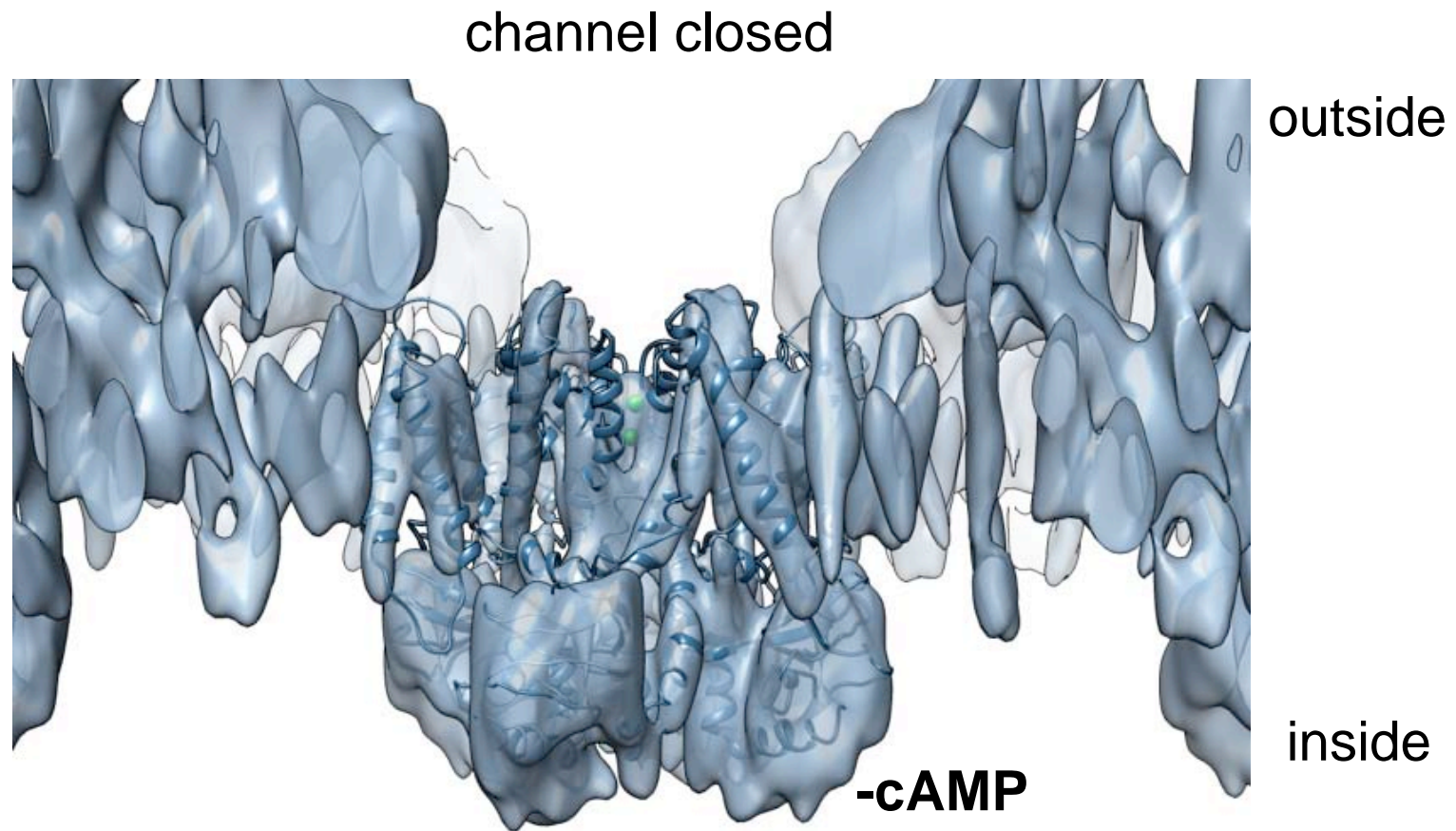


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Kowal et al., Nat. Comm. (2013), accepted

# Ion Channel Gating

## The cyclic-nucleotide activated Mlok1 K<sup>+</sup> channel

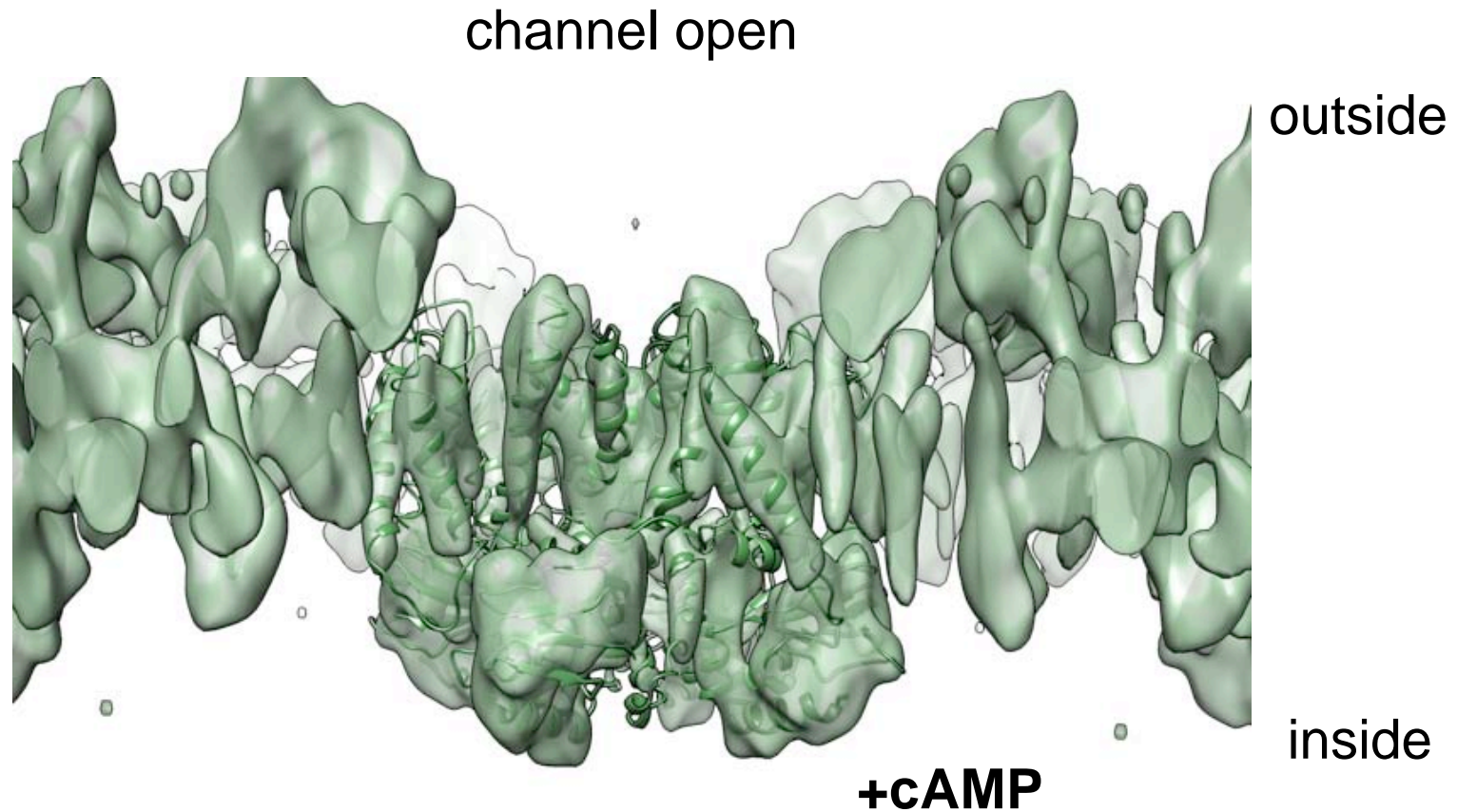


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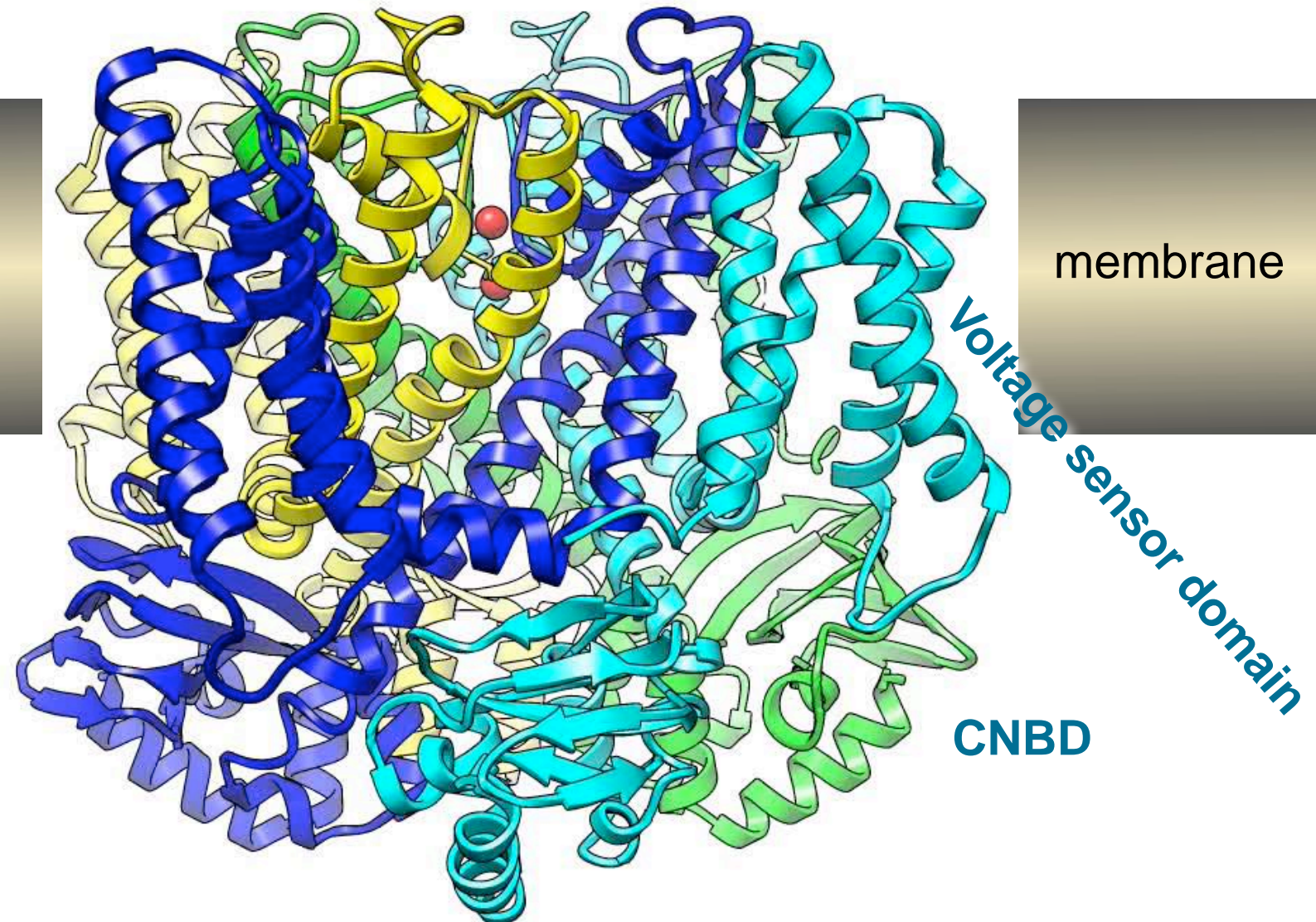


in collaboration with H.Stahlberg (Biozentrum Basel) and C.Nimigean (Cornell)

Kowal et al., Nat. Comm. (2013), accepted

# Ion Channel Gating

Transition between open and closed channel conformation



# Cross-validation

At low resolution overfitting becomes a serious problem.  
 Standard procedure in X-ray refinement (Brunger, 1992):

Split data set (randomly) into two sets:

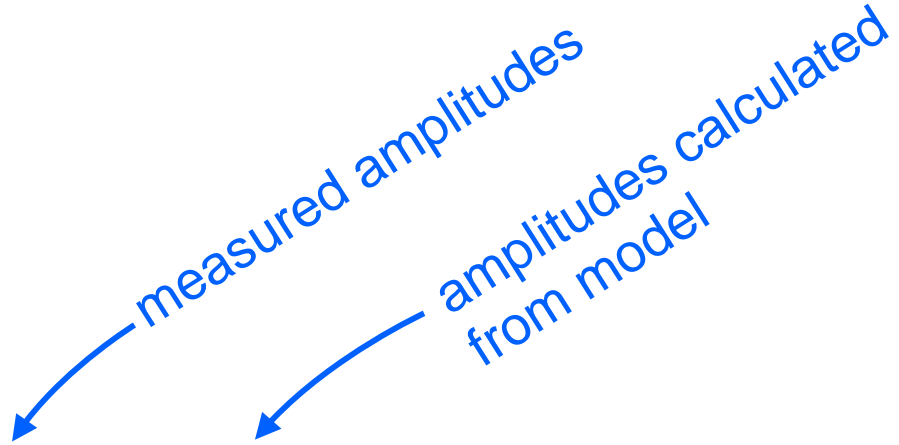
**'work'** set and **'test'** set

95%

5%

Refinement is done only with work set.

Test set data are only used  
 for computing  $R_{\text{free}}$

$$R = \frac{\sum_{\mathbf{h}} \left| |F_{\text{obs}}(\mathbf{h})| - |F_{\text{calc}}(\mathbf{h})| \right|}{\sum_{\mathbf{h}} |F_{\text{obs}}(\mathbf{h})|}$$


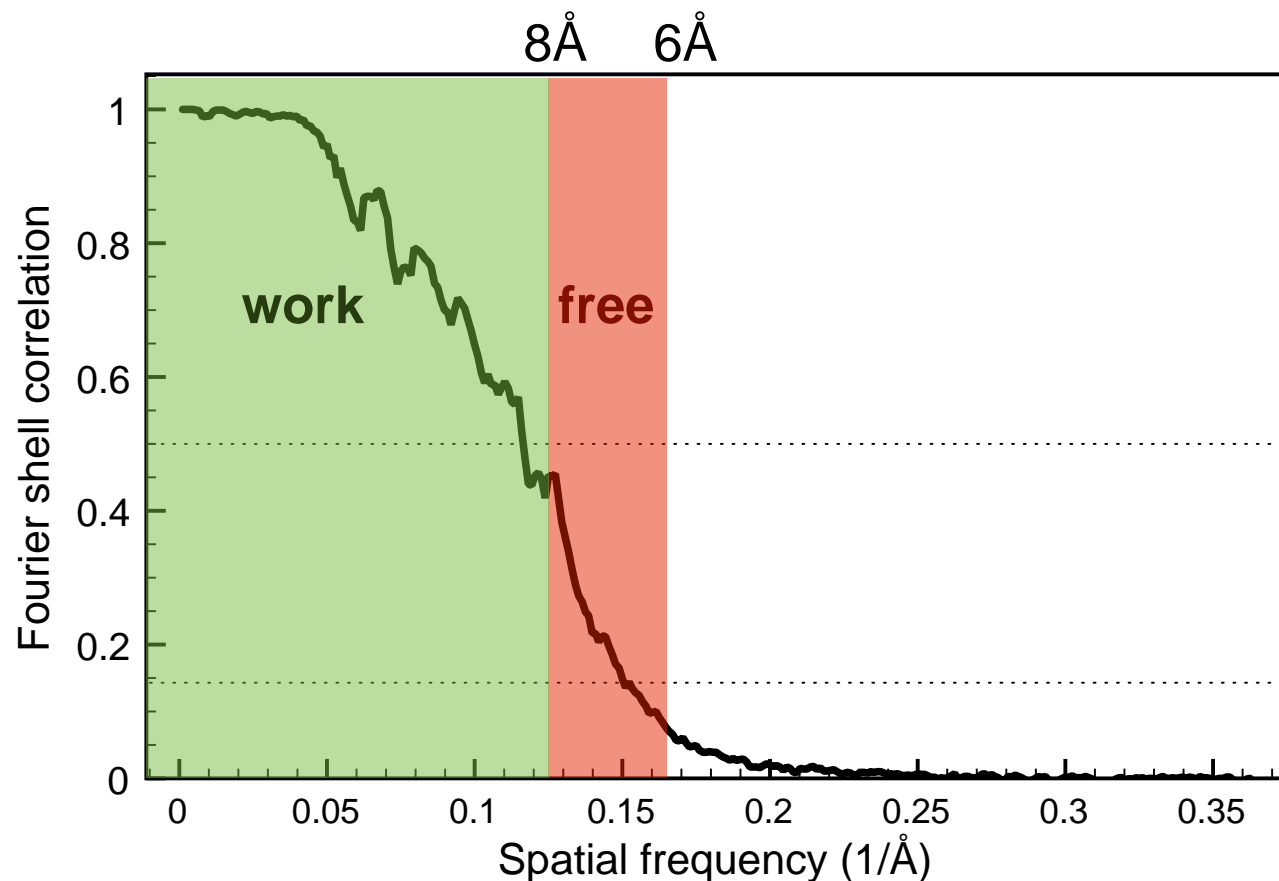
If difference between  $R_{\text{free}}$  and  $R_{\text{work}}$  gets too large,  
 model is overfitted.

# Cross-validation

Exclude part of the data that is not used for refinement, but only for validation (“test set”).

Neighboring Fourier components are correlated in EM densities, therefore define high-resolution shell as free set

**C<sub>free</sub>**: density cross-correlation between model and target free maps



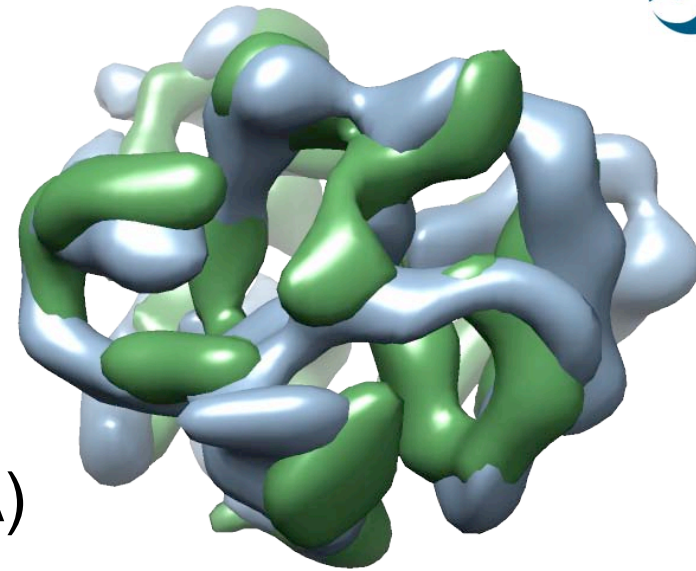
# Cross-validation

- EM density
- Model density

Density cross-correlation:

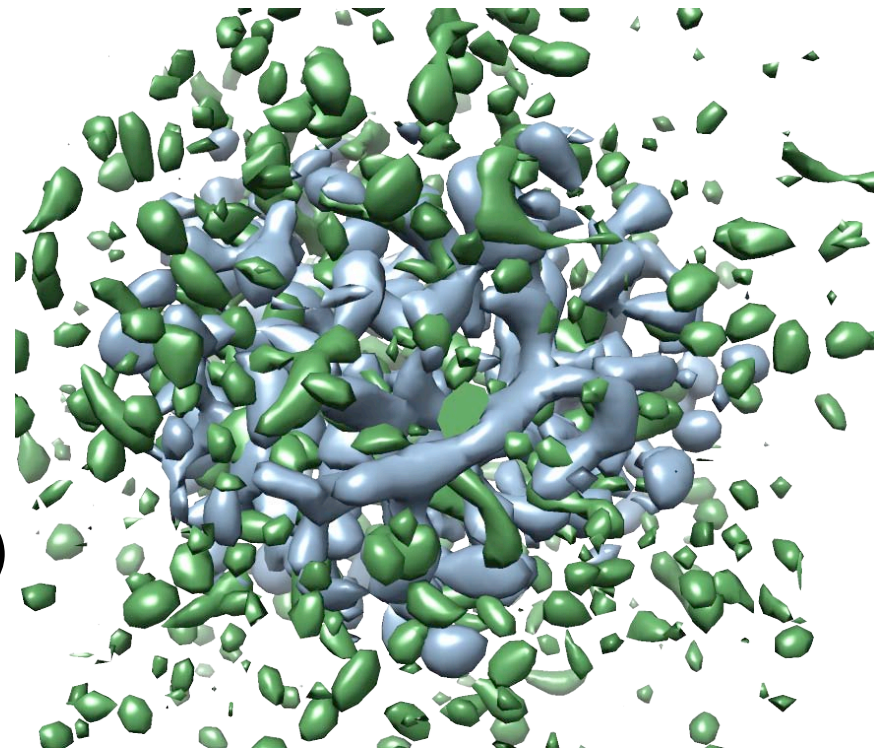
Work interval (here 7 - 200 Å)

->  $C_{\text{work}}$



Free interval (here 5 - 7 Å)

->  $C_{\text{free}}$

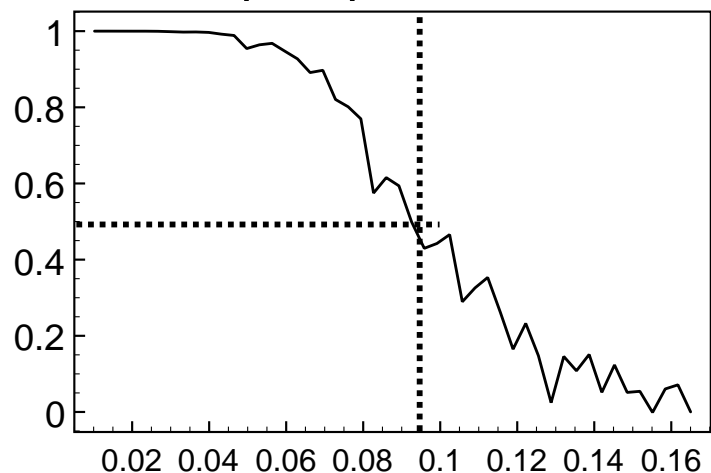


# Cross-validation

Starting from correct structure (1ikn)

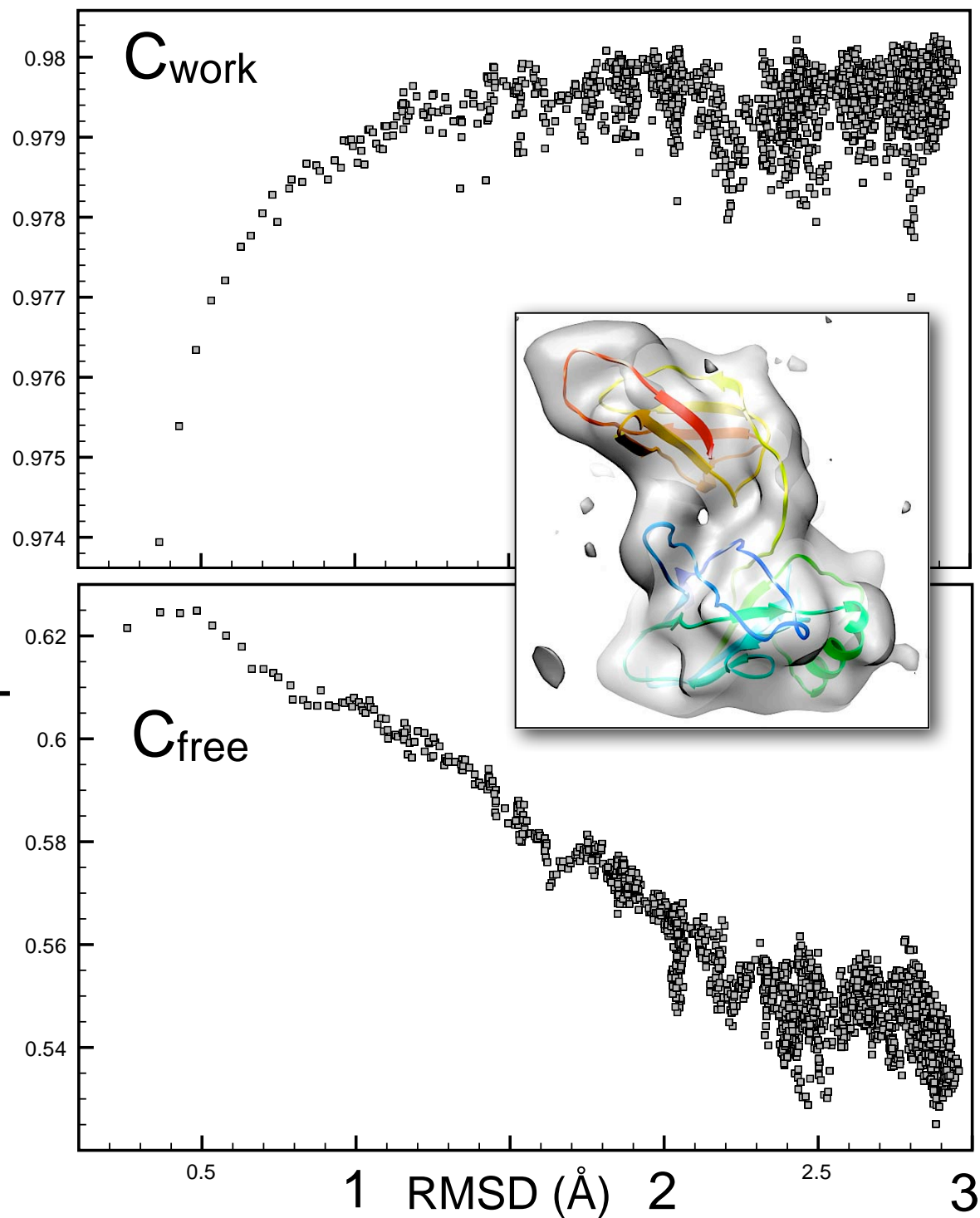
Synthetic density map with added noise

FSC (0.5)  $\sim 11 \text{ \AA}$



Free resolution range:  
10 - 13  $\text{\AA}$

Map Correlation





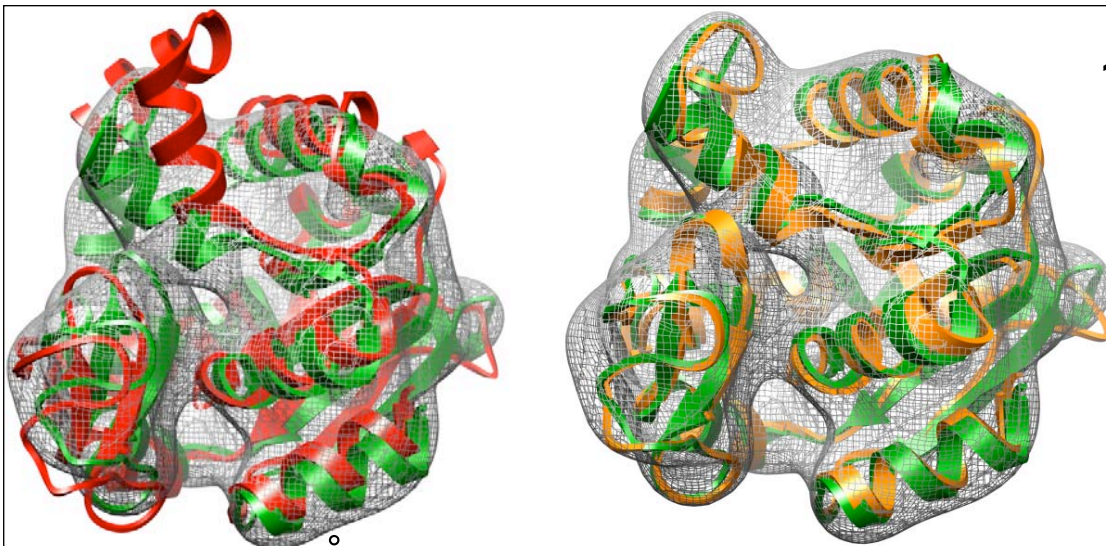
1 take at  $\sim 11 \text{ \AA}$

from Benchmark set by Topf et al. (*Structure* 16: 295, 2008)

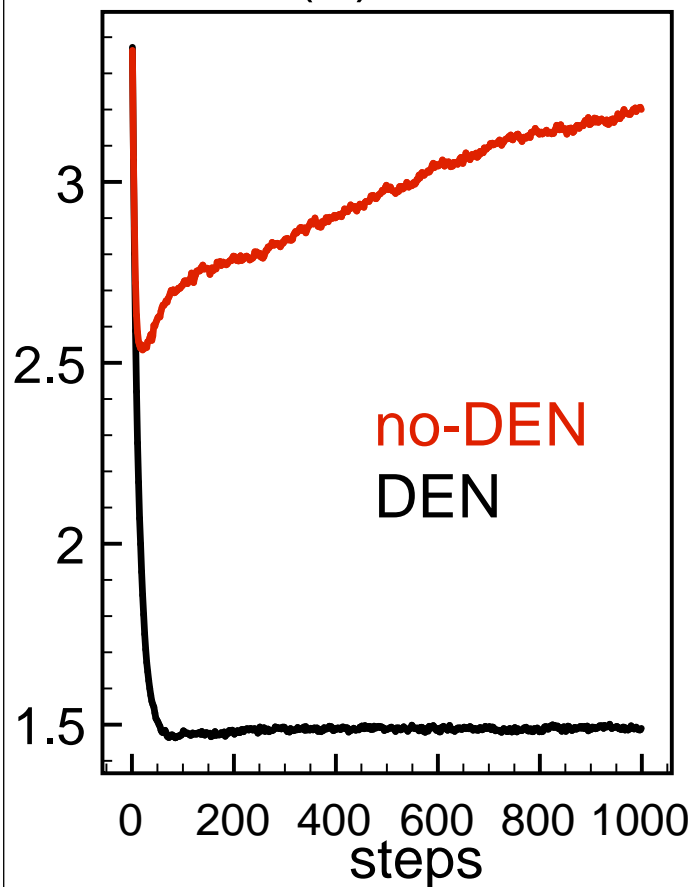
initial RMSD  $3.6 \text{ \AA}$

refined  $1.5 \text{ \AA}$

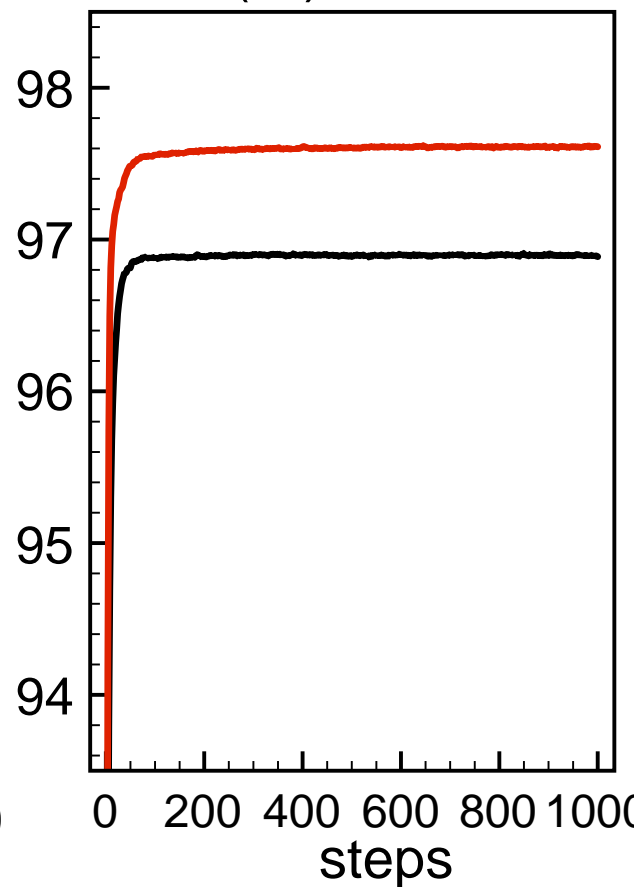
free resolution range  $10\text{-}13 \text{ \AA}$



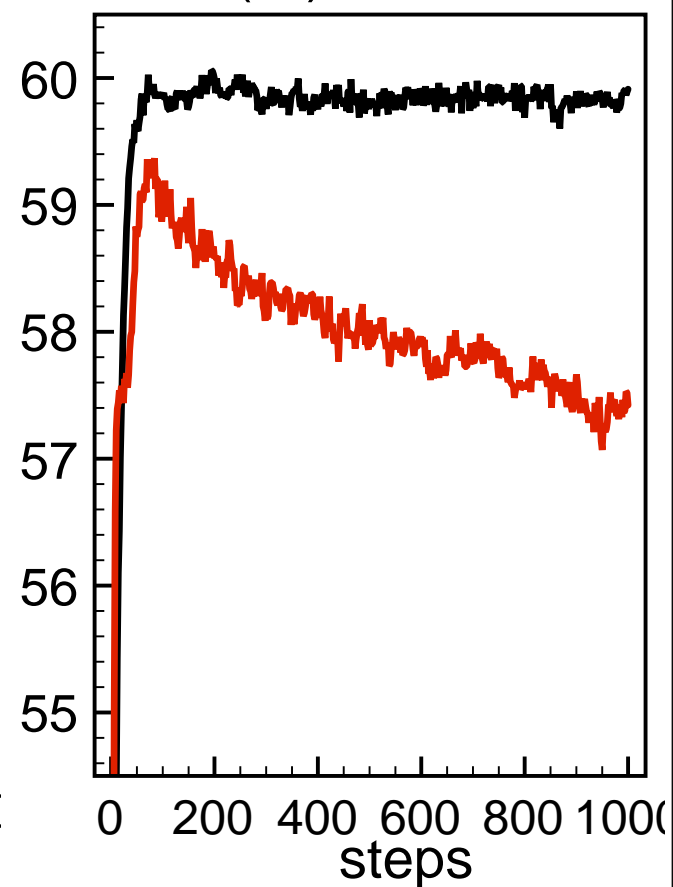
RMSD ( $\text{\AA}$ )



$C_{\text{work}}$  (%)

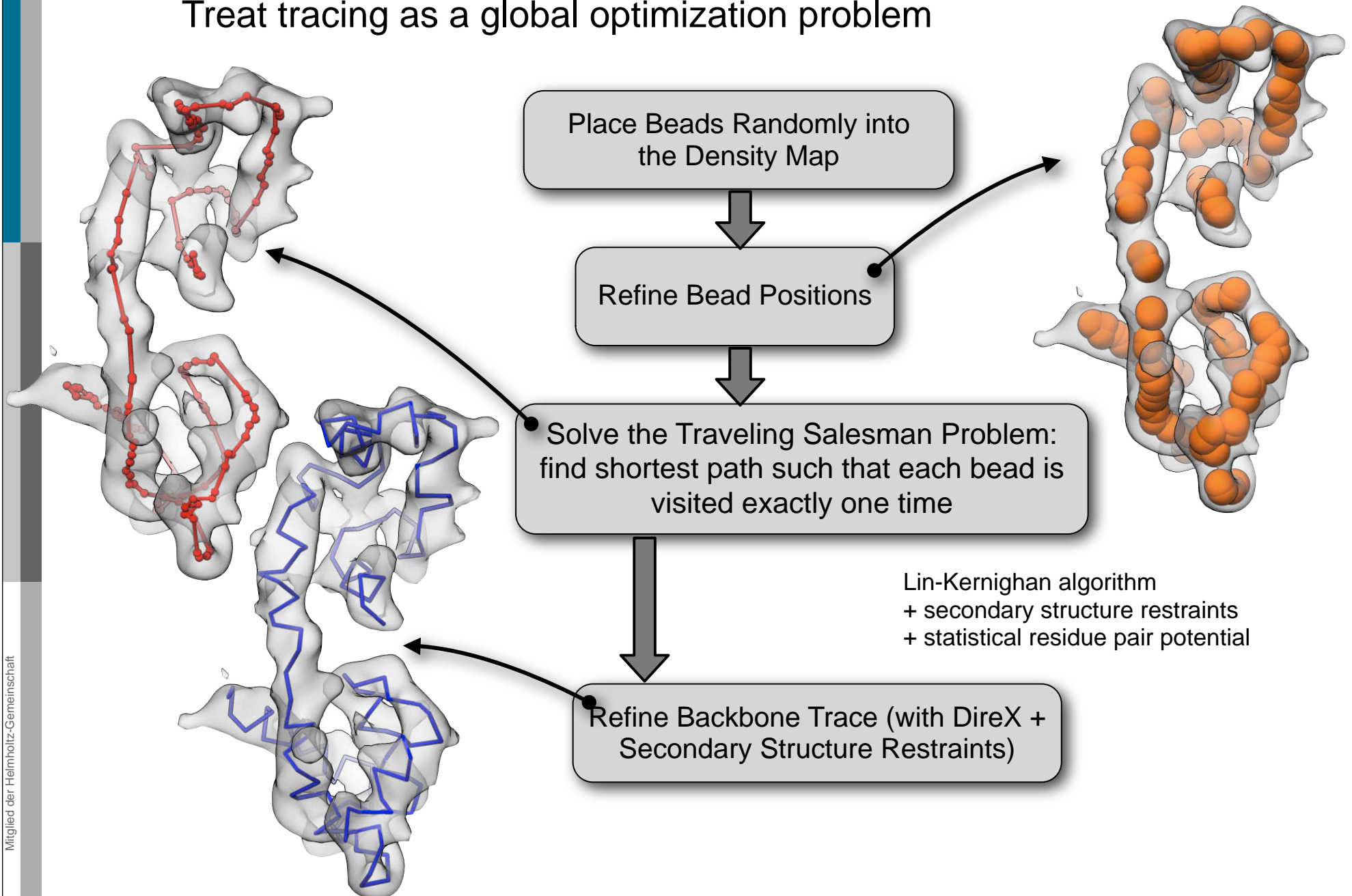


$C_{\text{free}}$  (%)



# Backbone Tracing at Low Resolution (4 – 6 Å)

Treat tracing as a global optimization problem



## Additional Restraints on the Traveling Salesman Problem

The *Miyazawa-Jernigan* potential is a **statistical potential** which favors contacts of amino acids that are frequently observed to be in contact in the PDB:

$$E_{MJ} = \sum_{i < j} M(a_i, a_j) D_{ij}$$

$D_{ij}$  is the contact matrix between amino acids of types  $a_i$  and  $a_j$ .  
 $M$  is the weight according the observed frequency of the  $a_i, a_j$  pair.

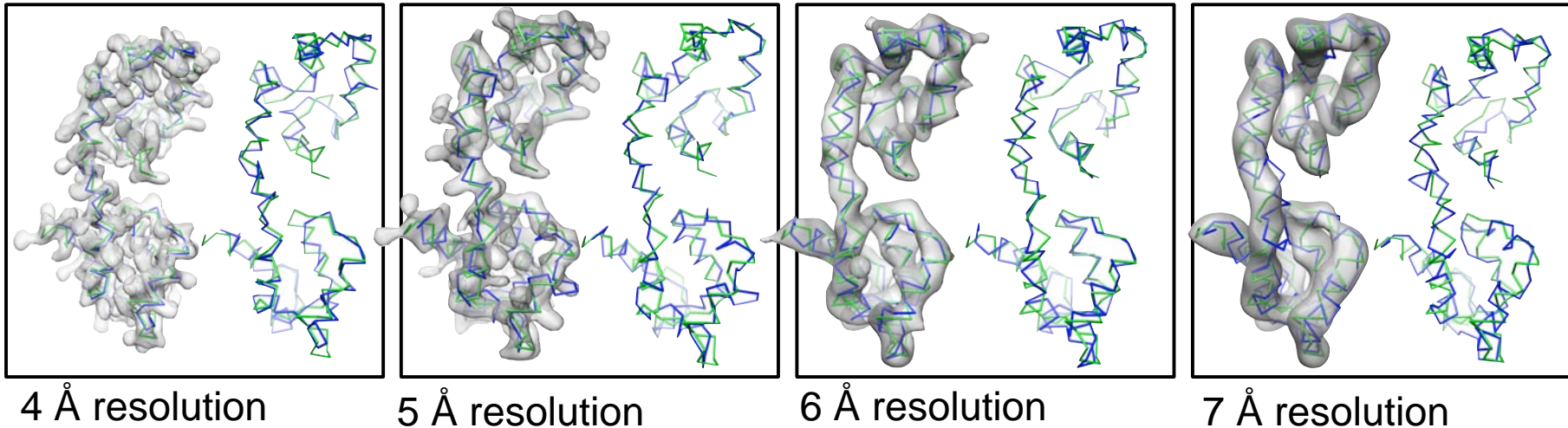
**Secondary structure prediction** yields restraints on the distances between amino acids that are within the same secondary structure element:

$$E_{SSE} = \sum (d_{ij} - d_{ij}^{seq})^2$$

The tracing algorithm then optimizes the  $E_{\text{Total}}$

$$E_{\text{Total}} = E_{\text{Lin-Kernighan}} + E_{MJ} + E_{SSE}$$

# Calmodulin Backbone Trace at Different Resolutions



- Test with synthetic (perfect) density maps at different resolutions
- 10 traces were generated for each resolution
- For all resolution the correct topology was found

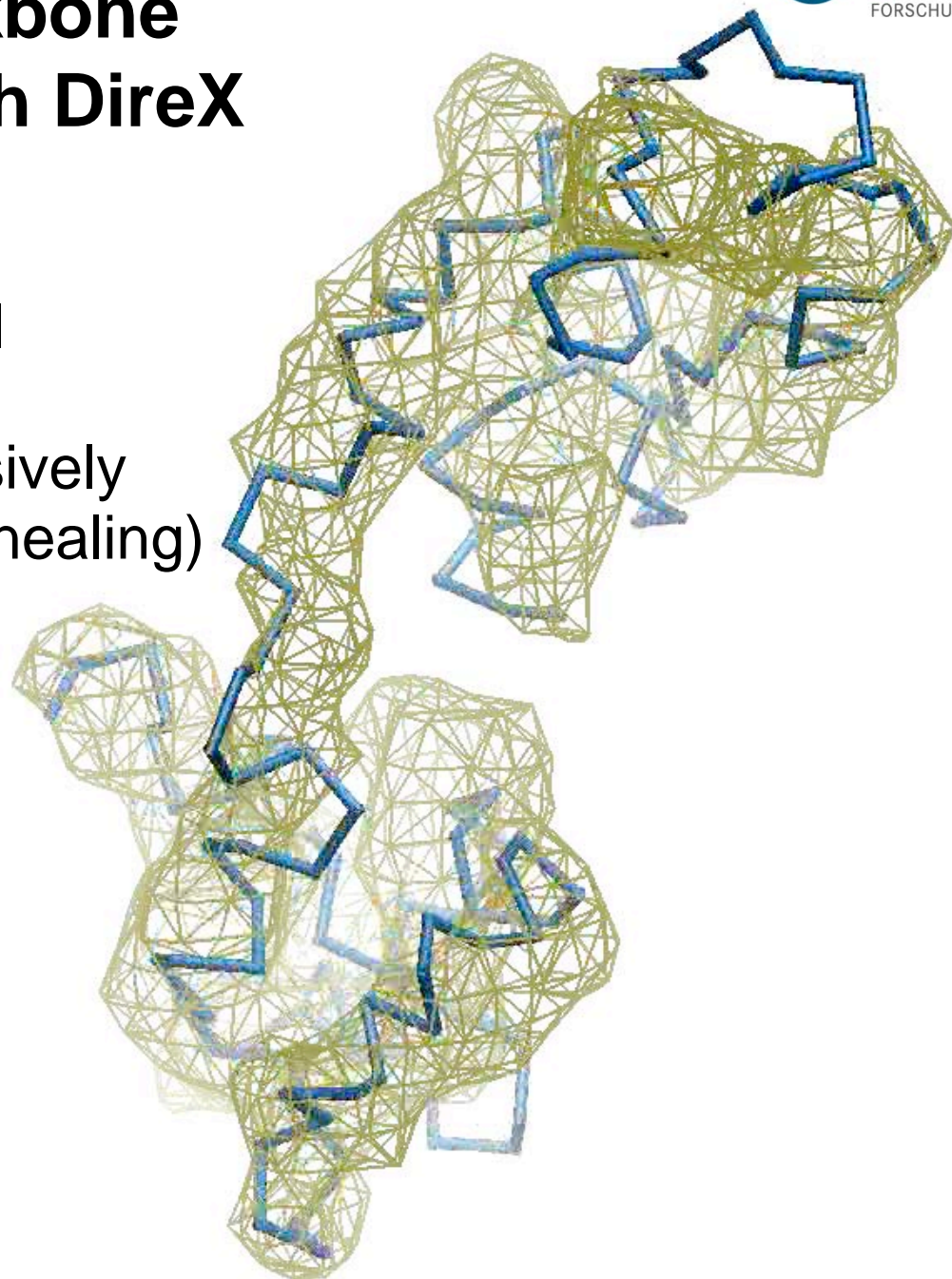
Map Resolution	RMSD
4 Å	6.4 Å
5 Å	7.5 Å
6 Å	4.7 Å
7 Å	8.1 Å

# Sampling the backbone conformations with DireX

DireX does not require a complete input model

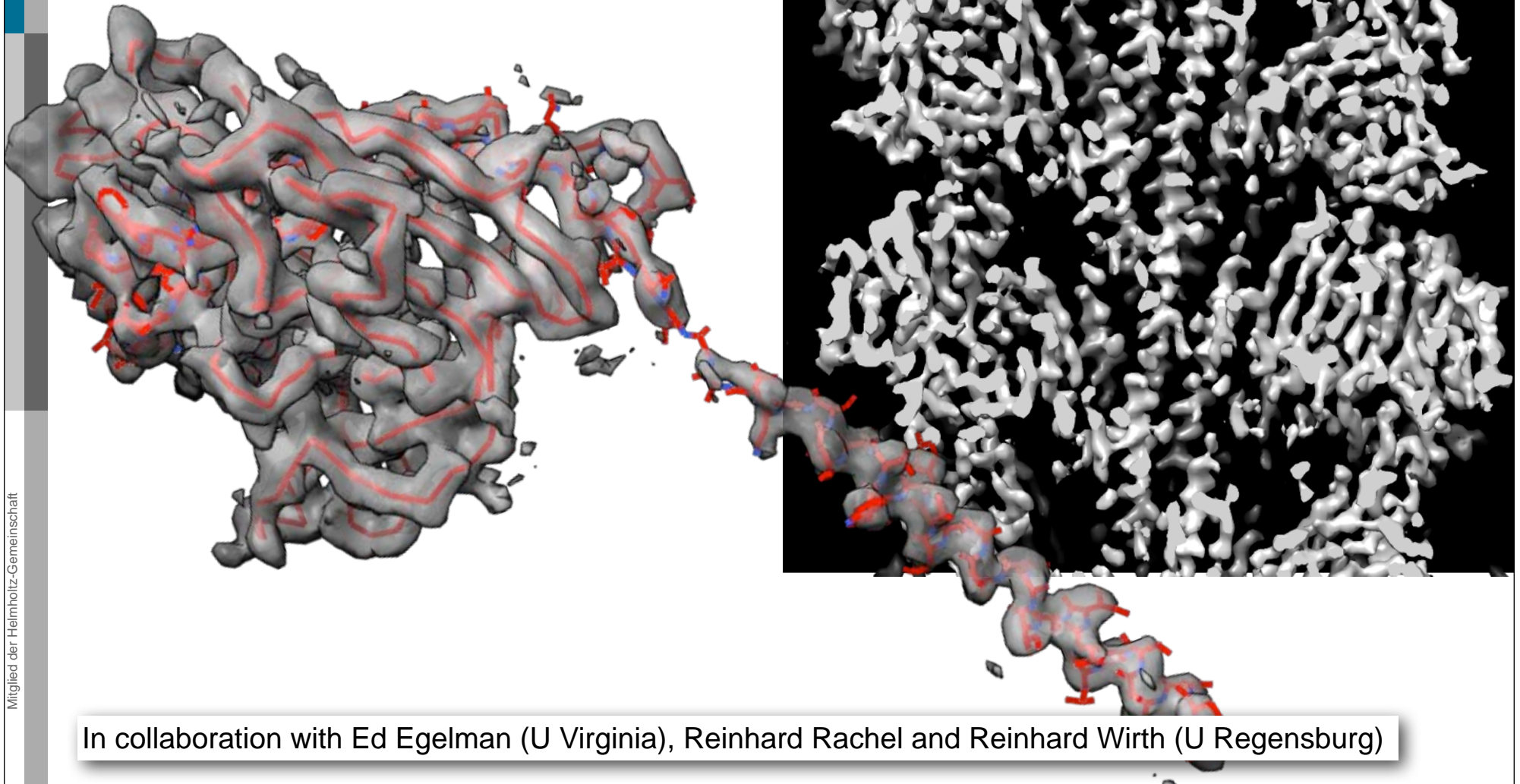
Ca-trace can be extensively sampled (simulated annealing)

Distance restraints can impose secondary structure information

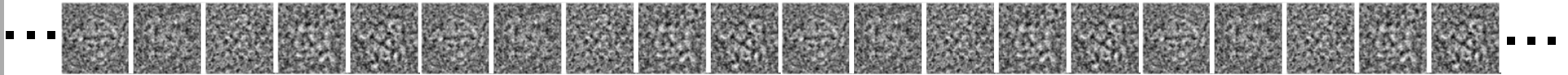


## Iho670 adhesion filaments from the *Ignicoccus hospitalis*

- EM reconstruction at 4 – 5 Å
- ~75% of trace complete
- Sequence assignment in progress



# Heterogeneity and Flexibility in single-particle Cryo-EM

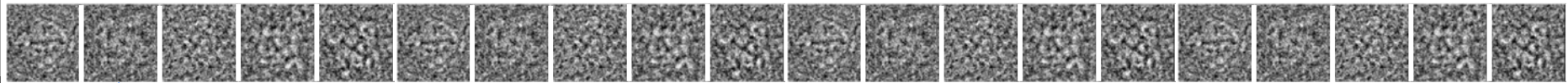


- Heterogeneity severely limits the resolution
- Advantage of Cryo-EM: all information is in the particle images  
(but difficult to extract due to noise).
- Goal: determine conformational variance  
**AND** improve resolution

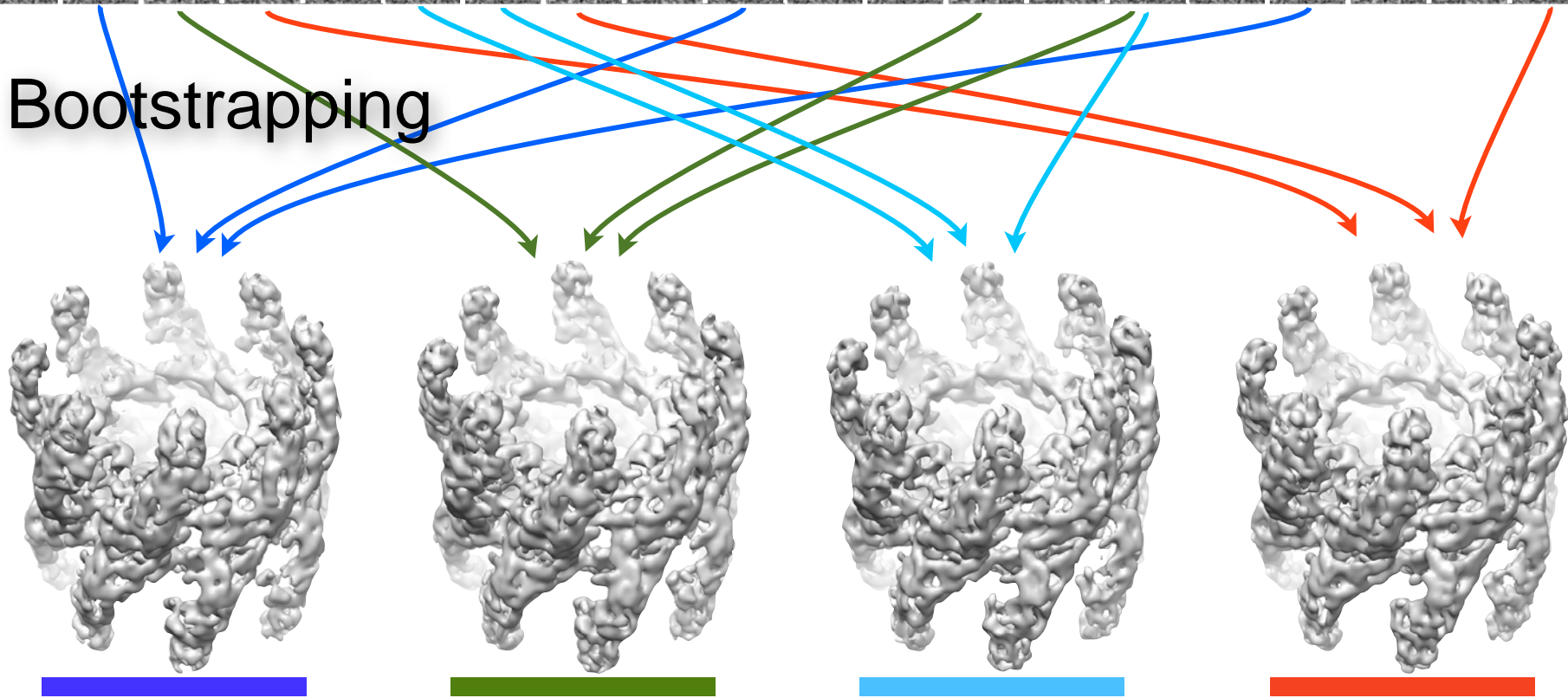
# Extracting Conformational Dynamics

## Equilibrium Fluctuations

Single-particle images (18,168)



Bootstrapping



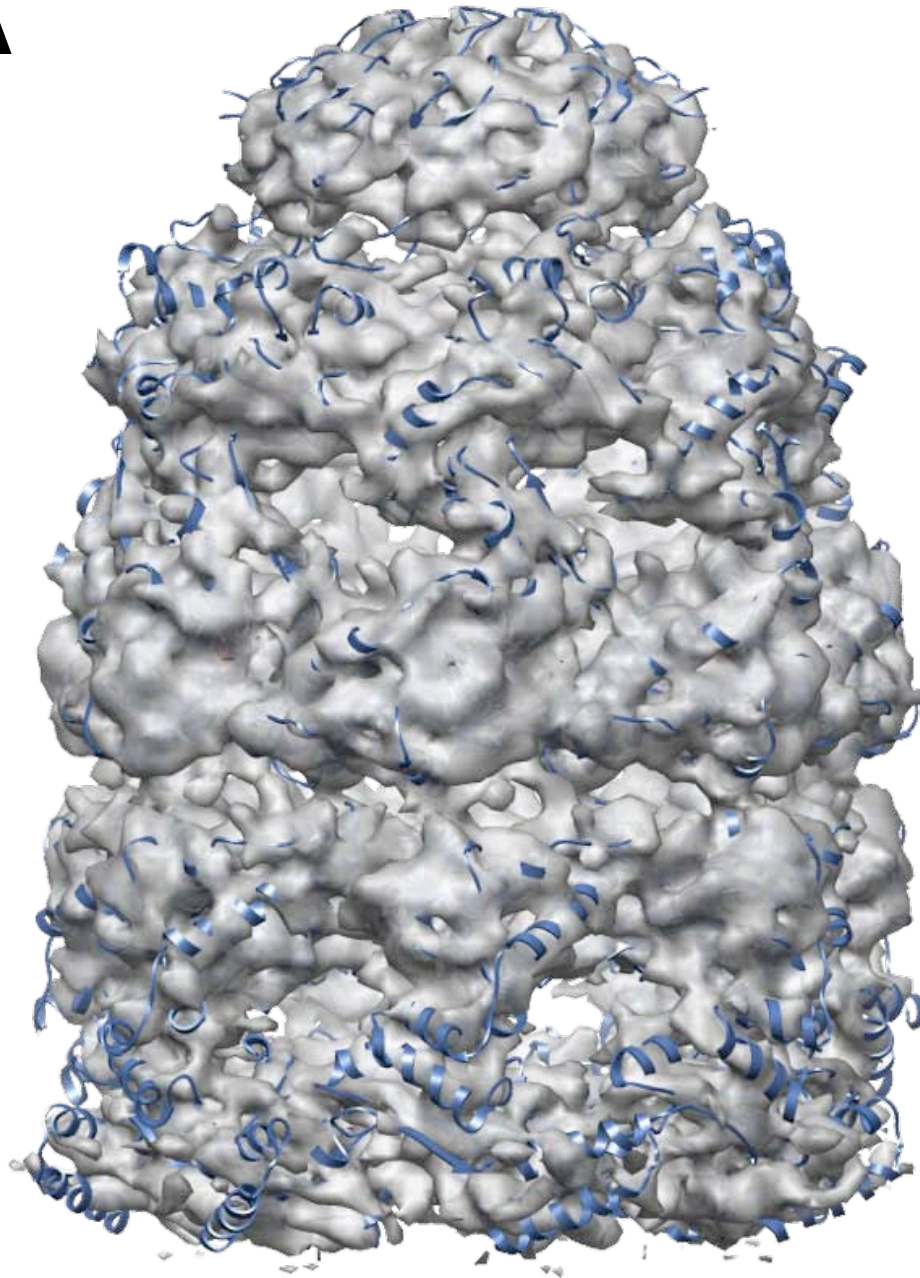
100 bootstrapped maps

Penczek, Yang, Frank & Spahn (2006) *J. Struct. Biol.* 154:168-183



# GroEL at 8 Å

(GroEL–Aacpn10–ADP)



In collaboration with Wah Chiu (Baylor College)  
and Hays Rye (Texas A&M)

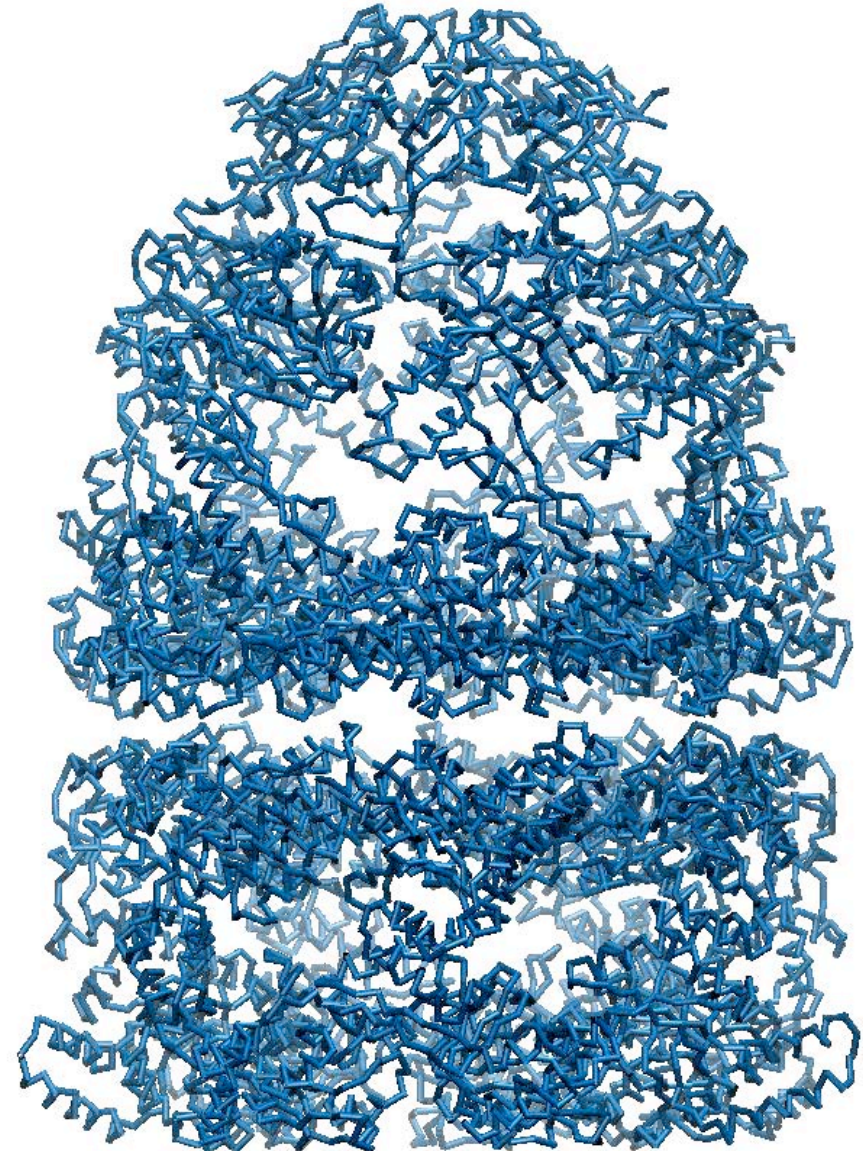
Chen, Luke, Zhang, et al. JMB (2008)

# GroEL - Principal Component Analysis of the ensemble fitted models:

## 1. Eigenvector

- lock-in of GroES
- upward motion of cis-ring apical domains
- rotations of trans-ring apical domains

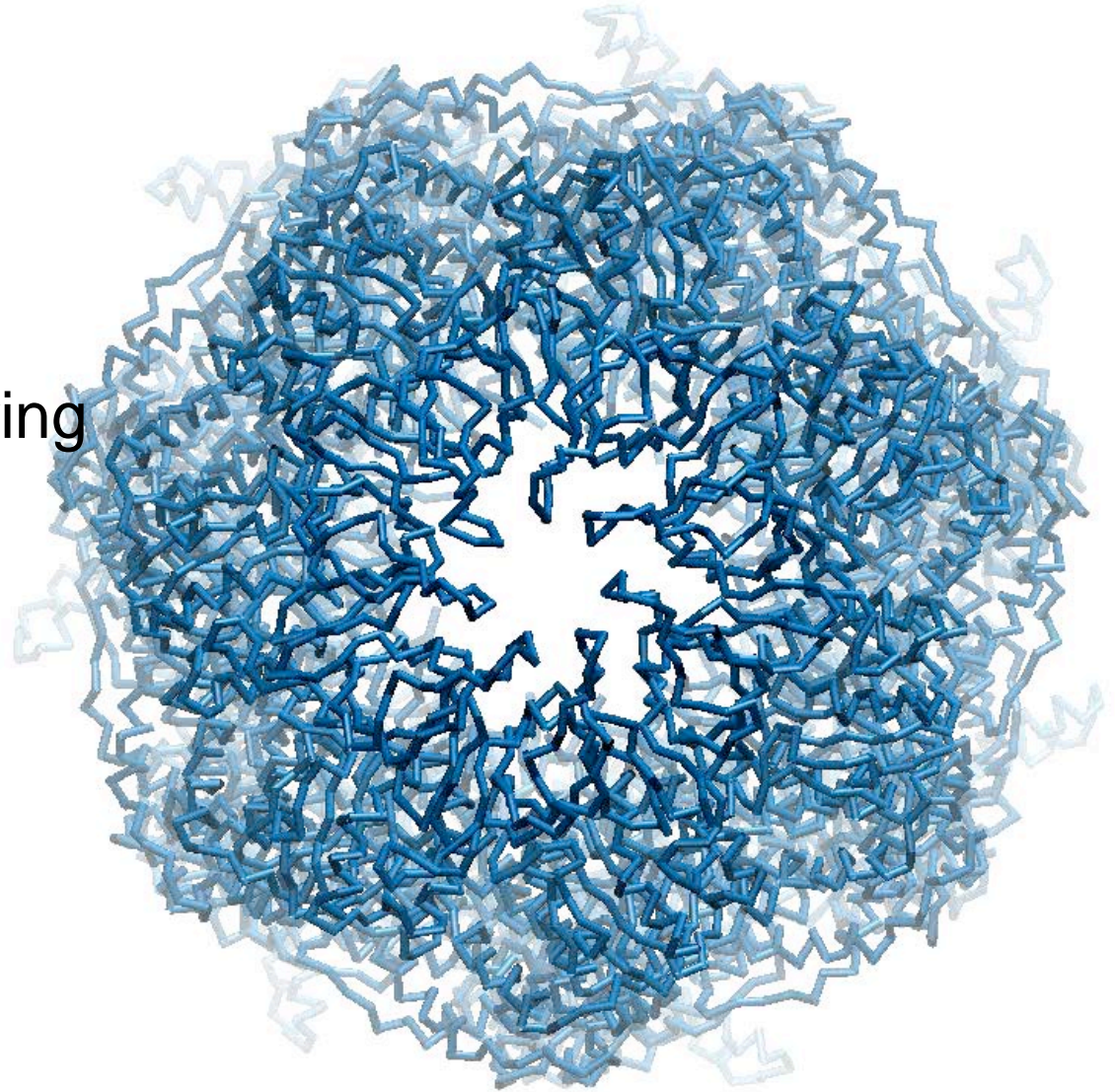
side view



# GroEL - Principal Component Analysis of the ensemble fitted models:

## 1. Eigenvector

- lock-in of GroES
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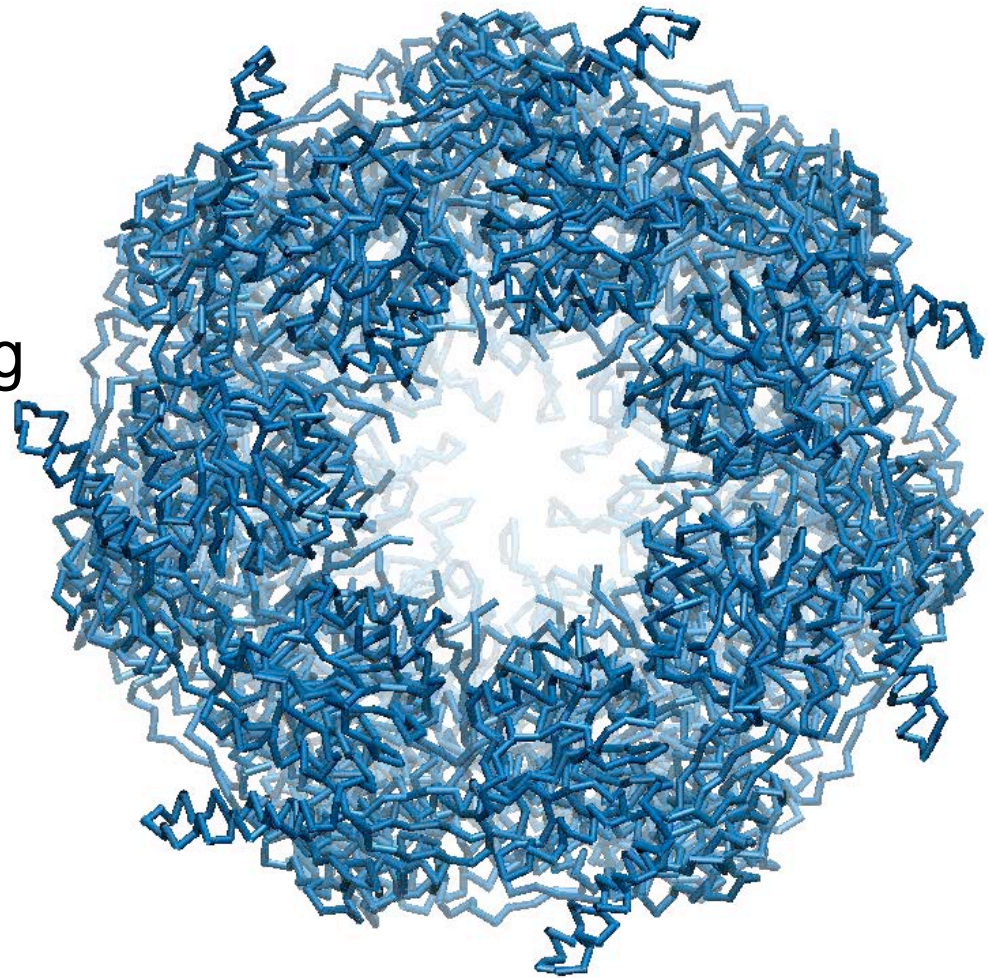


top view on GroES

# GroEL - Principal Component Analysis of the ensemble fitted models:

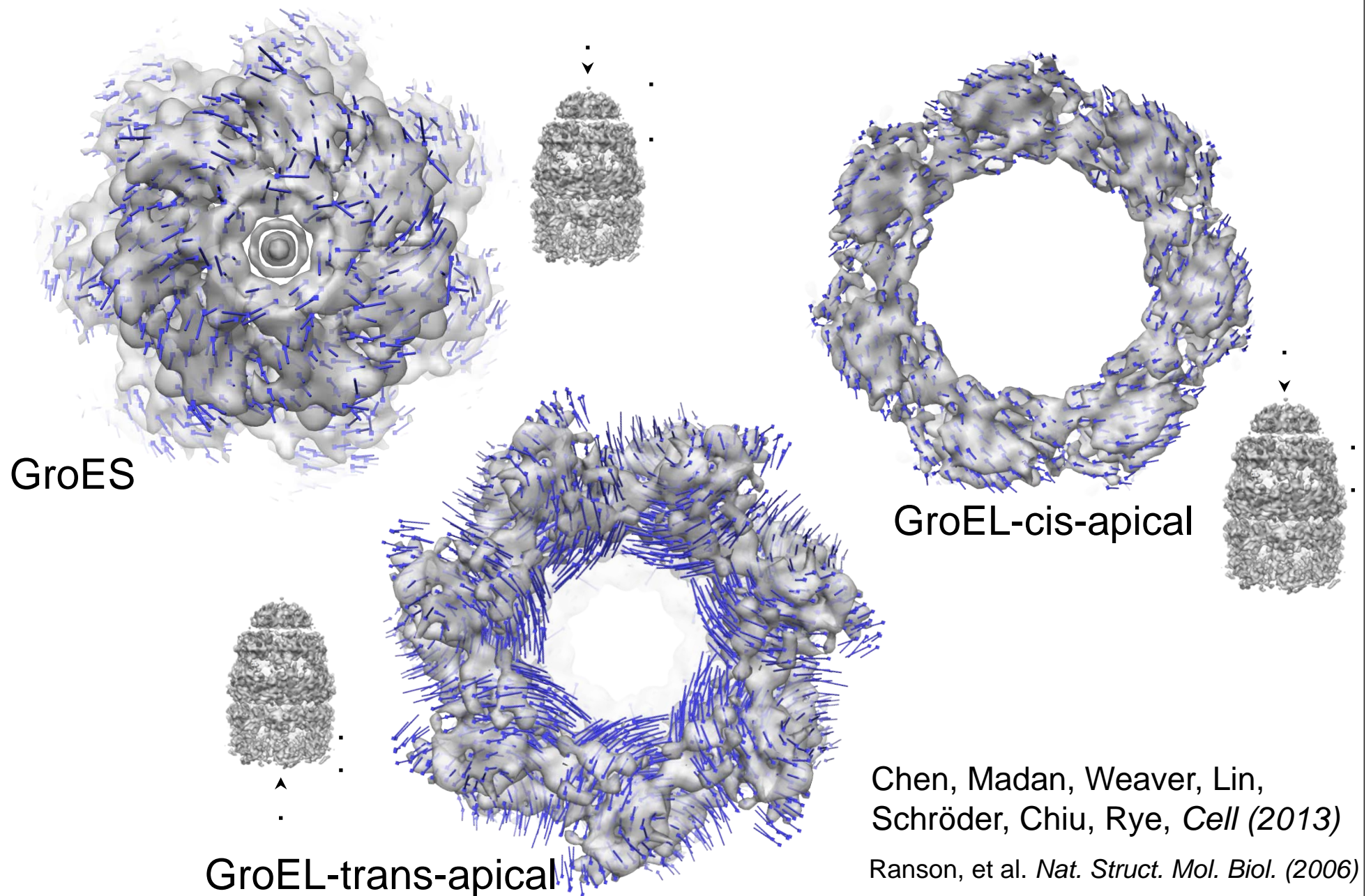
## 1. Eigenvector

- lock-in of GroES
- upward motion of cis-ring apical domains
- rotations of trans-ring apical domains



bottom view on trans-apical domain

# Comparing GroEL/ES in two nucleotide states R3<sub>ATP</sub> - R4<sub>ADP</sub>

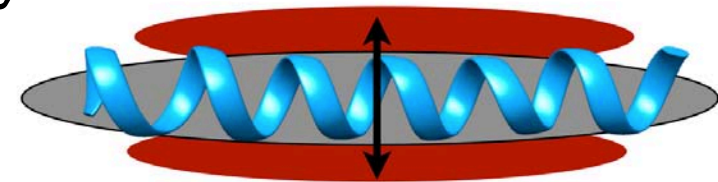
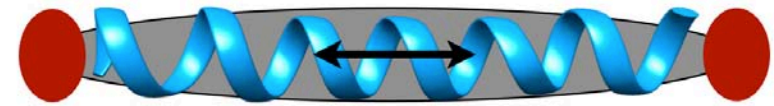


**Image Sorting** is key to achieving high resolution (not necessarily a large number of particles)

Images used for reconstruction need to show the molecule in the same conformation!

**Image Sorting** reveals different conformational states

Standard image classification sorts images according to density similarity.



But: Conformational Variance is not the same as Density Variance

## Ongoing Work

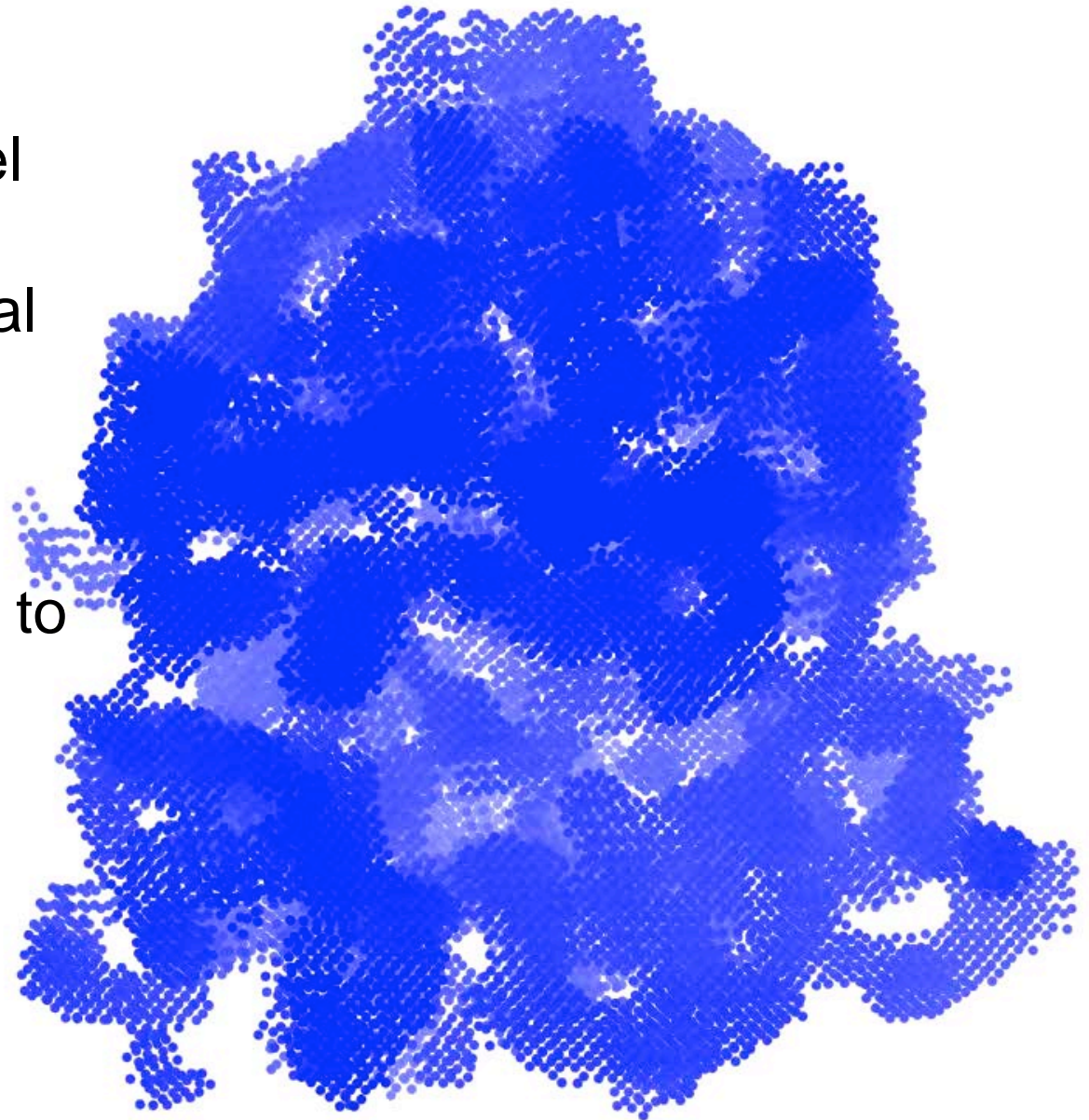
- Use principal conformational motions to sort images into classes
- Iteratively determine residual conformational dynamics in subclasses of images for further

# Refinement of generic bead models

DireX can refine any generic geometric model

you do not need a crystal structure to determine principal motions

- Use program *beadgen* to generate a bead model from a density map
- Refine bead model to different density maps



*Models refined to 100 bootstrapped maps*



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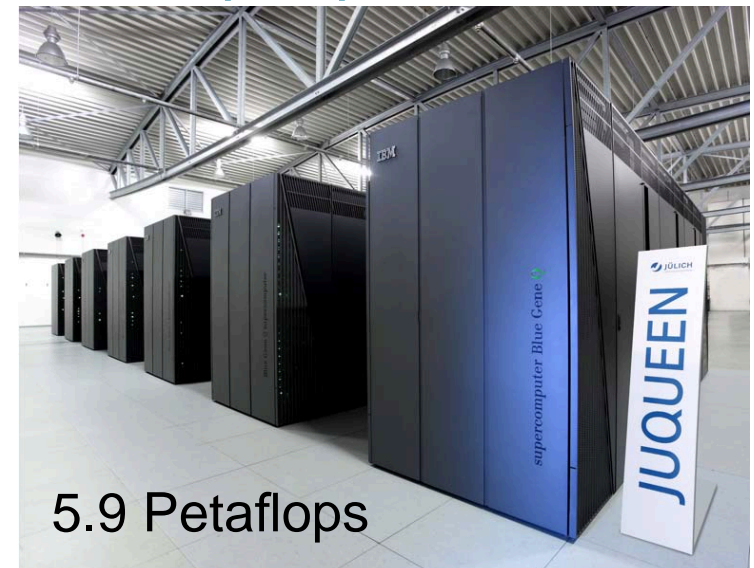
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5.9 Petaflops